

PERFORMANCE CONTRACT PLAN

Kern Regional Center

Calendar Year(s) **2022**

Public Policy Performance Measures (Required)

<i>Measures</i>	<i>Statewide Average June 2020</i>	<i>KRC Baseline as of June 2020</i>	<i>Statewide Average July 2021</i>	<i>KRC Baseline as of July 2021</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
<p>1. Number and percent of Regional Center consumers in Developmental Centers (DC) <i>(lower is better)</i></p>	<p>0.08% 266</p>	<p>0.10% 10</p>	<p>0.07% 255</p>	<p>0.11% 11</p>	<ul style="list-style-type: none"> • KRC will design services and identify supports that are essential to meeting the consumer's needs prior to the consumer moving into the community. • KRC will do outreach (i.e., partnership meeting with key holders such as Department of Mental Health) and give information to community providers interested in serving this specialized population. • KRC will continue to implement the 2021-22 Community Placement Plan (CPP)/Community Resouce Development Plan (CRDP), which identifies the current needs and services of individuals residing in developmental centers. The plan identifies specific ways of meeting those needs through residential service settings, day programs, supplemental supports, including transportation, 1-to-1 assistance, specialized medical, dental, residential placement, and any other identified need. • KRC will deflect placements from the DC whenever possible consistent with consumers needs. • KRC will inform families, developmental center staff and consumers about all choices available, and encourage them to evaluate all options. • Complete comprehensive assessment (initial/update) for consumers residing in the developmental centers who meet criteria for placement. • Move consumers from the developmental center to a community settings. • Develop community homes that would serve individuals with complex medical and/or severe behaviors who require intensive services. Homes may be under a new licensing category, allowing consumers to be served who could not be served in a community setting by 12/30/2022.

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<i>Measures</i>	<i>Statewide Average June 2020</i>	<i>KRC Baseline as of June 2020</i>	<i>Statewide Average July 2021</i>	<i>KRC Baseline as of July 2021</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
2. Number and percent of minors residing with families (own family, foster family, guardian) (higher is better)	99.48% 177,196	99.51% 5,042	99.53% 182,139	99.62% 5,459	<ul style="list-style-type: none"> • Continue to develop programs to serve children. • Provide information and referral to parents about Family Resource Center(s).
3. Number and percent of adults residing in independent living (higher is better)	10.06% 17,660	8.17% 406	9.76% 17,608	8.01% 409	<ul style="list-style-type: none"> • Service coordinators will discuss and provide Independent Living Services (ILS) options with consumers and families using a person-centered process.
4. Number and percent of adults residing in supported living (higher is better)	5.28% 9,260	10.08% 501	5.18% 9,348	9.75% 498	<ul style="list-style-type: none"> • Continue to provide information on Supported Living Service (SLS) options with consumers and families using a person-centered process.
5. Number and percent of adults residing in Adult Family Home Agency (AFHA) homes (higher is better)	0.93% 1,638	4.43% 220	0.89% 1,609	4.23% 216	<ul style="list-style-type: none"> • Develop plan to comply with statutory monitoring requirements. • Increase AFHA availability

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<i>Measures</i>	<i>Statewide Average June 2020</i>	<i>KRC Baseline as of June 2020</i>	<i>Statewide Average June 2021</i>	<i>KRC Baseline as of July 2021</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
6. Number and percent of adults residing in family homes (home of parent or guardian) <i>(higher is better)</i>	64.98% 114,052	63.39% 3,151	66.36% 119,712	64.51% 3,294	<ul style="list-style-type: none"> • Continue to provide services and support to maintain consumers in the family home.
7. Number and percent of adults residing in home settings (independent or supported living, Adult Family Home Agency and Family homes) <i>(higher is better)</i>	81.25% 142,610	86.06% 4,278	82.22% 142,277	86.51% 4,417	<ul style="list-style-type: none"> • See #3 through #6 above.

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<i>Measures</i>	<i>Statewide Average June 2020</i>	<i>KRC Baseline as of June 2020</i>	<i>Statewide Average July 2021</i>	<i>KRC Baseline as of July 2021</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
<p>8. Number and percent of minors living in facilities serving greater than 7 - (ICF, SNF,CCF). <i>(lower is better)</i></p>	<p>0.04% 66</p>	<p>0.00% 0</p>	<p>0.03% 59</p>	<p>0.00% 0</p>	<ul style="list-style-type: none"> • Continue to identify and track children at risk of institutional placement.
<p>9. Number and percent of adults living in facilities serving greater than 7 - (ICF, SNF, CCF) <i>(lower is better)</i></p>	<p>2.06% 3,618</p>	<p>1.03% 51</p>	<p>1.84% 3,323</p>	<p>0.96% 49</p>	<ul style="list-style-type: none"> • Continue to identify and track adults in large facilities.

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Public Policy Performance Measures (Related to Employment)

<i>Measures</i>	<i>Statewide Average</i>	<i>KRC</i>	<i>Statewide Average</i>	<i>KRC</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
<p>1. Number and percentage of consumers, ages 16-64 with earned income. <i>(higher is better)</i></p>	<p>2017-18 14.50% 23,265</p>	<p>2017-18 14.29% 650</p>	<p>2017-18 (NCI In-Person Survey) 18% NCI 15% CA</p>	<p>2017-18 (NCI In-Person Survey) 12%</p>	<ul style="list-style-type: none"> • Identify consumers ages 16-64 with earned income. • New Measures data is forthcoming from the Employment Development Department (EDD) & DDS. • Establish local partnership agreement between KRC, local educational agencies, and the Department of Rehabilitation.
<p>2. Average annual wages for consumers ages 16-64 <i>(higher is better)</i></p>	<p>2017-18 8698</p>	<p>2017-18 8929</p>	<p>TBD</p>	<p>Average PIP/CIE Annual Income \$10,053 (FY 1920)</p>	<ul style="list-style-type: none"> • Track progress. New Measures data is forthcoming from the Employment Development Department (EDD).
<p>3. Annual earnings of consumers ages 16-64 compared to people with all disabilities in CA <i>(higher is better)</i></p>	<p>2017-18 14.5%</p>	<p>2017-18 14.3%</p>	<p>TBD</p>	<p>TBD</p>	<ul style="list-style-type: none"> • Track progress. New Measures data is forthcoming from the Employment Development Department (EDD). • Establish local partnership agreement between KRC, local educational agencies, and the Department of Rehabilitation.
<p>4. Number of adults who were placed in competitive, integrated employment following participation in a Paid Internship Program. <i>(higher is better)</i></p>	<p>UD</p>	<p>2016- 17 7 2017-18 5</p>	<p>UD</p>	<p>2018-19 8 2019-20 4</p>	<ul style="list-style-type: none"> • Provide training and information to staff, community, and local providers regarding the Paid Internship Program (PIP). • Identify and track consumers participating in PIP. • Partner with local businesses, Dept. of Rehab, and school to increase number of PIP participants.

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<i>Measures</i>	<i>Statewide Average</i>	<i>KRC Baseline</i>	<i>Statewide Average</i>	<i>KRC Baseline</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
<p>5. Percentage of adults who are placed in competitive, integrated employment following Participation in a Paid Internship Program <i>(higher is better)</i></p>	UD	UD	UD	<p>2019-2020 4 hired out of 51 PIP (7.84%)</p>	<ul style="list-style-type: none"> • Track progress. New measures data if forthcoming • Establish local partnership agreement between KRC, local educational agencies, and the Department of Rehabilitation.
<p>6. Average hourly or salaried wages and hours worked per week for adults who participated in a Paid Internship Program during the prior fiscal year. <i>(higher is better)</i></p>	TBD	<p>2017-18 \$11/hr 25 hrs/wk</p>	TBD	<p>2019-2020 \$12.00/hr 15.12 hrs/wk</p>	<ul style="list-style-type: none"> • Track progress. New measures data if forthcoming • Data obtained from DDS annual Report

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<i>Measures</i>	<i>Statewide Average</i>	<i>KRC Baseline</i>	<i>Statewide Average</i>	<i>KRC Baseline 2019-20</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
7. Average wages and hours worked for adults engaged in competitive, integrated employment, on behalf of whom incentive payments have been made. <i>(higher is better)</i>	TBD	2017-18 \$12.66/hr 25 hrs/wk	TBD	2019-20 \$12.16/hr 17.66 hrs/wk	<ul style="list-style-type: none"> • Track progress. New measures data if forthcoming • Data obtained from DDS annual Report
8. Total number of \$1000, \$1250 and \$1500 incentive payments made for the fiscal year <i>(higher is better) Note: Effective 07/01/21 incentive payments have changed to \$2,000, \$2,500 and \$3,000</i>	TBD	2017-18 \$1000(3) \$1250(2) \$1500(3)	TBD	2019-20 \$1000(5) \$1250(6) \$1500(1)	<ul style="list-style-type: none"> • Track progress. New measures data if forthcoming • Data obtained from DDS annual Report
9. Percentage of adults who reported having integrated employment as a goal in their IPP <i>(higher is better)</i>	2014-15 State Avg 27%	2014-15 KRC Avg 37%	TBD	TBD	<ul style="list-style-type: none"> • KRC to develop a plan on how to track these objectives. • Obtain National Core Indicator (NCI) Survey. • NCI measure "community employment" versus "integrated employment."

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Public Policy Performance Measures (Related to Reducing Disparities and Improving Equity in Purchase of Services Expenditures)

Measures	KRC FY 2018-19 % Utilized ALL AGES	KRC FY 2018-19 Age & Utilized	KRC FY 2019-20 % Utilized ALL AGES	KRC FY 2019-20 Age & Utilized	Activities Regional Center will Employ to Achieve Outcome
<p>Percent of total annual purchase of service expenditures by individual's ethnicity and age:</p> <ul style="list-style-type: none"> * Birth to age two, inclusive. * Age three to 21, inclusive. * Twenty-two and older. 	<p>Asian 65.5%</p> <p>-</p> <p>Black/AA 66.9%</p> <p>-</p> <p>Native Hawaiian or Other Pacific Islander 78.5%</p> <p>-</p> <p>Hispanic 61.5%</p> <p>-</p> <p>Native American 61.1%</p> <p>-</p> <p>Other 62.2%</p> <p>-</p> <p>White 69%</p>	<p>0 - 2 60.5%</p> <p>-</p> <p>3 - 21 55.3%</p> <p>-</p> <p>22 - ^ 69.5%</p>	<p>Asian 63.7%</p> <p>-</p> <p>Black/AA 69%</p> <p>-</p> <p>Native Hawaiian or Other Pacific Islander 83.7%</p> <p>-</p> <p>Hispanic 66.8%</p> <p>-</p> <p>Native American 70.8%</p> <p>-</p> <p>White 66.4%</p>	<p>0 - 2 61.4%</p> <p>-</p> <p>3 - 21 56.1%</p> <p>-</p> <p>22 - ^ 68.6%</p>	<ul style="list-style-type: none"> • KRC will utilized the DDS Disparity Grant to implement a plan to address disparity for birth to 8 years, and 8 years and over. • Conduct outreach and training through educational presentations about regional center services in venues serving families with young children [birth to 8 years] in KRC's catchment area, utilizing Early Start networks. • Enhanced training for staff to assess thoroughly the needs of the consumer and families, and to follow through with the referral process essential to access the correct service. • Service Coordinator will monitor closely to review the utilization of servcies on a periodic basis and identify barriers in accessing servcies. •KRC to observe vendor data trends for encumbrances vs. utilization and establish a trhrehold for appropriate encumbrance vs. utilization. If utlization falls under standard, review the current practices that are inplace and make adjustment as needed. • Service Coordinators to work closely with clients, families and vendors when services are not utilized.

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<i>Measures</i>	<i>KRC FY 2018-19 Ethnicity All Ages</i>	<i>KRC FY 2018-19 All Ethnicity</i>	<i>KRC FY 2019-20 Ethnicity All Ages</i>	<i>KRC FY 2019-20 All Ethnicity</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
<p>Number and percent of individuals receiving only case management services by age and ethnicity:</p> <ul style="list-style-type: none"> * Birth to age two, inclusive. * Age three to 21, inclusive. * Twenty-two and older. 	<p>Asian 25.2%</p> <p>-</p> <p>Black/AA 19%</p> <p>-</p> <p>Native Hawaiian or Other Pacific Islander 20%</p> <p>-</p> <p>Hispanic 30.5%</p> <p>-</p> <p>Native American 20%</p> <p>-</p> <p>Other 27.8%</p> <p>-</p> <p>White 23%</p>	<p>0 - 2 129 9.9%</p> <p>3 - 21 1,849 40.4%</p> <p>22 - ^ 652 16.4%</p>	<p>Asian 29.3%</p> <p>-</p> <p>Black/AA 21.8%</p> <p>-</p> <p>Native Hawaiian or Other Pacific Islander 14.3%</p> <p>-</p> <p>Hispanic 32.5%</p> <p>-</p> <p>Native American 32.1%</p> <p>-</p> <p>Other 27.5%</p> <p>-</p> <p>White 23.5%</p>	<p>0 - 2 5.9%</p> <p>-</p> <p>3 - 21 44.5%</p> <p>-</p> <p>22 - ^ 17.7%</p>	<ul style="list-style-type: none"> • Through the Individual Program Plan (IPP) process KRC will assure that case management continues to be sufficient to meet the needs of the clients. • Through the Individual Program Plan (IPP) process KRC will to include all services not funded by POS dollars. • Hire additional case worker to reduce caseload ratio. • Enhanced training for Service Coordinator in reviewing POS expenditures and utilization, follow up with family utilization of services and support and documentation of generic resources.

Compliance Measures ¹UD = Under Development

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<i>Measures</i>					<i>Yes/No</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
1. Unqualified independent audit with no material finding(s).					YES	<ul style="list-style-type: none"> Establish, apply and maintain good business practices and generally accepted accounting principles.
2. Substantial compliance with Department of Developmental Services fiscal audit					YES	<ul style="list-style-type: none"> Establish, apply and maintain good business practices and generally accepted accounting principles.
3. Accuracy percent of POS fiscal projections (based on February SOAR)					YES	<ul style="list-style-type: none"> Strive to improved accuracy of POS fiscal projections based on history and ongoing utilization review.
4. Operates within OPS budget					YES	<ul style="list-style-type: none"> Develop plan to operate within the operational funds allocation.
5. Certified to participate in Waiver					YES	<ul style="list-style-type: none"> Maintain compliance with Medicaid Waiver requirements.
6. Compliance with Vendor Audit Requirements per contract, Article III, Section 10.					YES	<ul style="list-style-type: none"> Maintain compliance with contract.
<i>Measures</i>	<i>Statewide Average June 2020</i>	<i>KRC Baseline as of June 2020</i>	<i>Statewide Average July 2021</i>	<i>KRC Baseline as of July 2021</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>	
7. CDER/ESR Currency	98.34%	98.22%	98.39%	98.35%	<ul style="list-style-type: none"> Continue to monitor timely completion of CDER/ESR. 	

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<i>Measures</i>	<i>State Target</i>	<i>FFY 2016-17 Report</i>	<i>FFY 2018-19 Report</i>	<i>FFY 2021-22 Report</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
8. Intake/assessment and IFSP time lines (0-2).	100%	96%	96.67%	TBD	<ul style="list-style-type: none"> • Implement to ensure timely completion of intake/assessment and IFSP. Maintain compliance with T17 requirements [R3].
<i>Measures</i>	<i>Statewide Average June 2020</i>	<i>KRC Baseline as of June 2020</i>	<i>Statewide Average July 2021</i>	<i>KRC Baseline as of July 2021</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
9. Intake/assessment time lines for consumers ages 3 and above.	91.29%	87.78%	98.27%	99.39%	<ul style="list-style-type: none"> • Implement plan to ensure timely completion of intake/assessment. • Complete a comprehensive review of the Intake Process to move towards meeting statutory requirements.

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<i>Measures</i>	<i>Federal Revenue Audit Report 2017</i>	<i>Federal Revenue Audit Report 2019</i>	<i>Federal Revenue Audit Report 2021</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
10. IPP Development (WIC requirements(2.6.a))	90%	97%	TBD	<ul style="list-style-type: none"> • Comply with all requirement of WIC 4646.5(c)(3) for timely completion of individual program plans for consumers receiving services under the Lanterman Act. • Hire additional case worker to reduce caseload ratio. • Enhance training on IPP timelines, including familiarity with WIC codes for seasoned or senior Service Coordinators • New Service Coordinators will be trained on all aspects of the IPP process, including timelines.
<i>Measures</i>	<i>State Target</i>	<i>FFY 2018-19 Report</i>	<i>FFY 2019-20 Report</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
11. An Initial IFSP Development Part C 45 day time line (Title 17 requirements).	100%	40%	76%	<ul style="list-style-type: none"> • Comply with all requirement of Title 17 for timely completion of individual program plans for infants and children receiving Early Intervention services [R5]. • Service Coordinators will be trained on all aspect of the IFSP process, including time lines. • A thorough documentation will be completed whenever there are issues with delays in completing assessment to account when there are exceptional family circumstances which impacts 45 days timeline. • Develop additional resources in completing Early Start assessments for the growth in number of referrals for children under the age of 3 to KRC. • Ensure to have adequate resources to complete assessment in a timely manner.

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STATEMENT OF ASSURANCES

This is to assure that **Kern Regional Center** Calendar Year 2022 Performance Contract was developed in accordance with the requirements specified in Welfare and Institutions Code section 4629 and the Department of Developmental Services' (DDS) Year 2022 Performance Contract Guidelines.

The performance contract was developed through a public process which included:

- Providing information, in an understandable form, to the community about regional center services and supports, including budget information and baseline data on services and supports and the regional center operations [WIC 4629 (c)(B)(i)];
- Conducting a public meeting where participants can provide input on performance objectives and using focus groups or surveys to collect information from the community [WIC 4629 (c)(B)(ii)];
- Providing at least ten calendar days advance public notice of the date of the public meeting (guidelines); and,
- Circulating a draft of the performance objectives to the community for input prior to presentation at a regional center board meeting where additional public input will be taken and considered before adoption of the objectives [WIC 4629 (c)(B)(iii)];

Signature of RC Director: _____

Michi A. Gates, Ph.D. Kern Regional Center Executive Director

Date: _____