



**Board of Directors Meeting Agenda**  
**Tuesday, November 29, 2022**  
**6:00 – 7:30 p.m.**

| General Business  |        |                                 |
|---|--------|---------------------------------|
| 1. Call to Order and Introductions  |        | Oscar Axume                     |
| 2. Approval/Additions to Agenda   | Action | Oscar Axume                     |
| 3. Review and approve minutes of meeting held on October 25, 2022 (Attachment 1)  | Action | Oscar Axume                     |
| 4. Presentation – Intake / Early-Start Program (Attachment 2)   | Info   | Lulu Calvillo<br>Nicola Perkins |
| 5. Public Input   | Info.  |                                 |
| New Business  |        |                                 |
| 6. Approval of Preliminary 2023 Performance Contract (Attachment 3)   | Action | Enrique Roman                   |
| 7. ARCA Report (Attachment 4)   | Info   | Tracey Mensch                   |
| Reports   |        |                                 |
| 8. Board President Report   | Info.  | Oscar Axume                     |
| 9. Executive Director Report  | Info.  | Dr. Michi Gates                 |
| 10. Financial Report<br>a. POS Report for September 30, 2022 (Attachment 5)<br>b. Operations Report for September 30, 2022 (Attachment 6) | Info   | Tom Wolfgram                    |
| 11. Vendor Advisory Committee Report  | Info.  | Tamerla Prince                  |

Please click the link below to join the webinar:

<https://us02web.zoom.us/j/86842722010?pwd=NUJnSTNGb3hHSE9rRzFsWFBWdTJ0QT09>

**Webinar ID: 868 4272 2010 Passcode: 313526**  
**Dial-In Number: (206) 337-9723**

**Next Board Meeting:**  
**January 24, 2023, 6:00 – 7:30 PM**

3200 N. Sillect Avenue Bakersfield, California 93308  
(661) 327-8531 Fax (661) 324-5060 TDD (661) 327-1251  
[www.Kernrc.org](http://www.Kernrc.org)

**Kern Regional Center  
Board of Directors Meeting**

**November 29, 2022**

**6:00 – 7:30 p.m.**

**Zoom Webinar**

*3200 N. Sillect Avenue ∞ Bakersfield, CA 93308 ∞ 661-327-8531*

**MINUTES**

**KRC BOARD MEMBERS PRESENT:**

Oscar Axume, Treasurer, Meeting Chair  
Carlos Isidoro, Board Member  
Ryan Jones, Board Member  
Tracey Mensch, ARCA Delegate  
Tamerla Prince, VAC Representative  
Donald Tobias, Board Member  
Mark Tolentino, Board Member  
Martin Vasquez, Secretary  
Simon Verdugo, Board Member  
Ruth Watterson, Board Member

**KRC BOARD MEMBERS ABSENT:**

Jasmeet Bains, President  
Kevin Gosselin, Vice President

**STAFF PRESENT:**

Lulu Calvillo, PM, Early Start Case Management  
Michi Gates, PhD, Executive Director  
Kristine Khuu, Assistant Director of Client Services  
Nicola Perkins, PM, Early Start Case Management  
Celia Pinal, Director of Client Services  
Enrique Roman, Director of Community Services  
Tom Wolfgram, CFO

**GUESTS PRESENT:**

Cindy Cox, Advocate for Donald Tobias  
Jill Green  
John Noriega, Advocate for Simon Verdugo  
Edwin Pineda, DDS  
Sandra Van Scotter  
Mitzi Villalon

**INTERPRETER:** Nidya Madrigal Navia

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**CALL TO ORDER**

Mr. Oscar Axume, Treasurer, chaired the KRC (Kern Regional Center) Board of Directors Meeting. Mr. Axume called the meeting to order at 6:07 p.m. and introductions were made.

**APPROVAL OF AGENDA**

The Board of Directors previously received tonight's agenda for review. No changes or additions were requested.

Mr. Axume asked for a motion to approve the agenda of November 29, 2022 as presented.

M/S/C: (Mensch, Vasquez)

Ayes: 10

Motion Carried

## REVIEW OF MINUTES

The Board of Directors previously received the minutes of the September 27, 2022 Board Meeting for review. No corrections were requested. Mr. Axume asked for a motion to approve the minutes of September 27, 2022.

M/S/C: (Mensch, Prince)

Ayes: 10

Motion Carried

## PRESENTATION – INTAKE / EARLY-START PROGRAM (Attachment 2)

*LuLu Calvillo and Nicola Perkins, Program Managers for Early Start Case Management Team*

Kristine Khuu, Assistant Director of Client Services, introduced Lulu Calvillo and Nicola Perkins, Program Managers for the Early Start Case Management Team. Ms. Calvillo and Ms. Perkins presented the following information about the Intake / Early-Start Program:

- What is Early Start?
- Referral Process
- Eligibility
- Individualized Family Service Plan
- Transition Planning
- Family Resource Center

A video called *The Story of Max* was attempted, however, the audio malfunctioned. Dr. Gates let everyone know that the video is available for viewing on the DDS website as well as the KRC website. The video will also be sent to Board Members. A copy of the PowerPoint presentation is attached to these minutes as a matter of record.

Questions by Tracey Mensch: How many children are enrolled in Early Start presently and what is the most common diagnosis?

Answers by Kristine Khuu: There are 1,781 children under the age of 3 years presently enrolled and about 1,400 children who went through the evaluation process. Expressive and receptive language delay is the most common diagnosis. Some children exit Early Start at Age 3 due to the progress they make in the program.

## PUBLIC INPUT

No public questions or comments were presented at this meeting.

## APPROVAL OF PRELIMINARY 2023-2024 PERFORMANCE CONTRACT

*Enrique Roman, Director, Case Management*

The 2023-2024 Performance Contract was distributed to Board Members ahead of time for review. Mr. Roman now comes before the Board of Directors to present the Preliminary Contract for approval. Mr. Roman gave a brief overview and asked if there were any questions.

Question by Tamerla Prince: Ms. Prince asked two questions on behalf of the VAC Committee:  
1. Who determines the measures in the plan? How is this monitored?  
2. What are the steps for the contract to become final?



Enrique Roman:

Most of the measures in the plan are provided by DDS. Various department with KRC are involved in the monitoring.

The steps for the contract to become final are:

1. Draft the contract.
2. Present the Preliminary Contract to the Board of Directors for approval to present the plan to the community.
3. Present the Preliminary Contract to the community and our stakeholders.
4. Incorporate any feedback from the community and stakeholders and bring the contract back to the Board of Directors.
5. If approved by the Board of Directors, the contract is submitted to DDS.
6. If DDS approves, KRC begins the process of implementing the steps outlined in the contract.

Question by Tracey Mensch: How is feedback from the community obtained?

Enrique Roman:

Once the Board of Directors approves the preliminary contract, there will be two community meetings held. An English language meeting will be held on December 12, 2022, at 6:00 p.m. and a Spanish language meeting will be held on December 13, 2022, at 6:00 p.m.

Additionally, there are a few focus group discussions planned. One of those will be done at the regularly scheduled Padres Unidos meeting. Another focus group discussion is planned for the VAC Committee. If time allows, Mr. Roman and Ms. Pinal will plan more focus groups.

Question by Tracey Mensch: Are families and clients part of these meetings?

Enrique Roman:

Yes, the English and Spanish Community Meetings planned for December are intended for clients, caretakers, families, vendors, and other stakeholders.

Dr. Gates and Enrique Roman explained that, timewise, there is more urgency on the contract this year as KRC received the Preliminary Contract later than usual. The final plan was originally due back to DDS on December 15; however, KRC misread the date and thought the due date was in January 2023. Dr. Gates verbally spoke with DDS and came to an agreement to have the Preliminary Contract to them by December 15 and the Final Contract to them after the Board of Directors meeting on January 24, 2023.

With board members being satisfied with the explanation provided by Mr. Roman during this meeting and as explained in the cover letter to the Board of Directors, Mr. Axume asked for a motion to approve the 2023-2024 Preliminary Contract as presented.

M/S/C: (Mensch, Tolentino)

Ayes: 10

Motion Carried

## **ARCA REPORT**

*Tracey Mensch, ARCA Delegate Chair*

An ARCA Strategic Planning Retreat was held in San Diego on October 20 and 21, 2022. Ms. Mensch and Dr. Gates both attended the retreat. ARCA's focus areas and aims for the next 3 years included goals for regional centers OPS funding; self-directed services implementation; flexibility of meeting the needs of diverse



communities; sustainable cost-based service rates; housing; employment; and HCBS Final Rule implementation. The PowerPoint of this presentation is attached to these minutes as a matter of record.

#### **BOARD PRESIDENT'S REPORT**

Mr. Axume wished everyone a Merry Christmas and Happy New Year. He is looking forward to 2023!

#### **EXECUTIVE DIRECTOR REPORT**

*Dr. Michi Gates, Executive Director*

Before beginning her presentation, Dr. Gates acknowledged Tracey Mensch on her recent appointment as Chair of the Statewide Consumer Advisory Committee under DDS. This is a huge honor! Ms. Mensch and the KRC Client's Right Advocate are planning to create a Consumer Advisor Board Subcommittee that, per our bylaws, can be part of the current KRC Board of Directors. Dr. Gates is anxious to have this committee work with the Board of Directors and have a larger voice for Kern Regional Center going forward.

KRC and ARCA have been taking part in a voluntary program with DDS to discuss how to measure the performance goals identified in the Performance Measures Plan. Now that the Performance Measures have been set up, DDS and various regional center staff are collaborating on how we actually measure them. Once this is decided, feedback will be provided to DDS. They will send the regional centers guidance on each performance measure, what the expectations are and how to implement them.

We are still in the regular monthly process of recruiting service coordinators and additional program managers. We would like to reduce the size of our units so that program managers can better manage their service coordinators. The hiring environment is still a challenge, but we are making progress. New service coordinators go through a learning curve during the first year, so we ask the community to be patient as they learn. We are very grateful to have our new service coordinators.

We continue to have our monthly meeting with DDS to review provider progress to comply with the HCBS Setting Rule. The deadline for HCBS compliance is March 2023. Thank you to the Board of Directors for approving the grant project to Bakersfield ARC. The grant funds KRC to implement projects that will help further the HCBS Setting Rule. Jeffrey Popkin provided an excellent presentation at VAC meeting giving us an update on their progress with the program. We hope that our vendors that are not yet in compliance will be compliant very soon by taking advantage of this program and the support provided Bakersfield ARC. We want everyone to be successful and to realize the importance of meeting the compliance deadline of March 2023 as there will be no alternatives and no extensions. Those vendors who don't meet the compliance deadline will no longer receive the Federal Funding match (approximately half the cost of services). The State of California will not be able to pick up 100 percent of the cost for those providers, so, essentially, those providers will no longer be able to provide services. It is important that any provider who is having problems with compliance, contact KRC. Bakersfield ARC is standing by and ready to help. We are ready to help to ensure that everybody is successful.

Kern Regional Center and Frank D. Lanterman Regional Center had their first meeting with DDS as the first regional centers in the State to start a new program called Coordinated Family Services. The goal of Coordinated Family Services is to meet the needs of a diverse community by giving them different options, rather than just the standard menu of services that we've been providing for many years. It is supported living, but for those adults and families who are choosing to remain together in the family home as opposed to moving out into their own home or apartment. We have many individuals, especially in certain cultures, specifically our Latino community, where adults are more likely to continue living in their family home. Up to this point, we have had very limited supports that we could provide. The beauty of this service is that we can provide much more support to these individuals who are living with their families, and we are very excited about this.

The last hearing of Little Hoover Commission was scheduled to be November 10, but they ran out of time at that hearing and were not able to have all the presenters speak. They have added another hearing that will take place on December 8 from 12:00 – 2:00 p.m. They will also take additional public comment at that hearing, so if anyone is interested in participating and would like to provide public comment, please go to the Little Hoover Commission website at [lhc.ca.gov](http://lhc.ca.gov). Search under the Events tab and choose the Study on the Developmental Disability System. There you will find all the information needed to participate. If you want to make a comment, Dr. Gates suggests that you call the phone number indicated on the site early and stay in the queue because they will only hear a certain number of people. Your chances of getting on to provide input are greater the earlier you call in. You can also provide public input via email at [littlehoovercommision@lhc.ca.gov](mailto:littlehoovercommision@lhc.ca.gov).

Disability Voices United will be holding its annual Self Determination program conference, *Breaking Barriers*, this Friday, December 2. SDP is another service that provides flexibility for diverse communities and is such a wonderful and important outgrowth of the regional center system, really maximizing choice and independence and empowerment for our individuals. Those interested can sign on to the [dву.org](http://dву.org) website for more information.

The Budget Cycle for 2023-2024 will start as soon as Governor Newsom releases his January budget proposal. Dr. Gates is hoping that some of our Board Members may be interested in participating in the legislative activities this year. Tracey Mensch is going to participate by advocating for ARCA's goals that were identified this year; i.e., eliminating the annual family program fee and family cost participation program permanently; to have a mechanism for provider rates to go up with costs, and then to update and revise the Core Staffing Formula for regional centers so that we can actually get the funding we need to meet caseload ratios and provide better services. The Annual Grass Roots Day is on April 18 (where ARCA sets up scheduled appointments with legislators). Board Members who are interested in participating in that with us are very welcome to do so.

Dr. Gates has good news that the audio visual equipment for the Malibu Room has been received and is being set up. We will be learning how to utilize it to its full potential and integrating interpreter services. We are hoping that we can have an in-person meeting in January 2023. There is no meeting in December and our next meeting will be on January 24, 2023. Happy Holidays!

## **FINANCIAL REPORT**

*Tom Wolfgram, CFO*

### **Purchase of Services Report as of September 30, 2022**

Total spent for month ending September 30, 2022: \$16,313,818

YTD: \$52,200,454

KRC has spent approximately \$4 Million more for services than by this time last year. Mr. Wolfgram estimates that there is approximately \$2 Million that has not yet been billed, so this would bring us to approximately \$6 Million more spent for services over last year. Out-of-Home Services shows \$3 Million more spent than last year and Day Programs shows \$1 Million more than the previous year.

### **Operations Report as of August 31, 2022**

Total expenses for month ending September 30, 2022: \$2,431,574

YTD: \$6,117,680

The Purchase of Services Report and the Operations Report ending September 30, 2022 are filed with these minutes.



**Vendor Advisory Committee Report**

*Tamerla Prince, VAC Representative*

Ms. Prince and Mr. Roman will be meeting to strategize ways to strengthen communication and collaboration between the regional center, vendors, and service providers so that we can more effectively meet the needs of clients.

There are so many new service coordinators and staff at Kern Regional Center that VAC believes it would be beneficial to reinstate the Vendor Fair. VAC will be in discussion about how to make that happen as quickly and safely as possible. More updates to come.

The HCBS project with Bakersfield ARC is hosting webinars on November 30, December 1, December 14, and December 28. E-mails are going out to the community and providers to inform them of the webinars and provide technical assistance if needed.

VAC members noticed that Social Recreation Grant information was posted on some other regional center websites and wanted to know if it will be posted on the KRC website. Mr. Roman answered that the posting for our website is being finalized and will be on the website soon.

**ADJOURNMENT**

With nothing further to discuss, Mr. Axume adjourned the meeting at 7:35 p.m.

**Board Education Session on Tuesday, January 24, 2023, at 5:30 p.m. via Zoom.**

**The next public meeting will take place on Tuesday, January 24, 2023, at 6:00 p.m.**

*Respectfully Submitted,*

A handwritten signature in black ink, appearing to read 'Darlene Pankey', written over a large, loopy oval flourish.

*Darlene Pankey*  
Executive Assistant



***Meeting Attachments***

***KRC Board Meeting***

***November 29, 2022***

***ATTACHMENT 1***

**Kern Regional Center  
Board of Directors Meeting**

**October 25, 2022**

**6:00 – 7:30 p.m.**

**Zoom Webinar**

*3200 N. Sillect Avenue ∞ Bakersfield, CA 93308 ∞ 661-327-8531*

**MINUTES**

**KRC BOARD MEMBERS PRESENT:**

Kevin Gosselin, Vice President  
Carlos Isidoro, Board Member  
Tracey Mensch, Board Member  
Tamerla Prince, VAC Representative  
Donald Tobias, Board Member  
Mark Tolentino, Board Member  
Simon Verdugo, Board Member

**KRC BOARD MEMBERS ABSENT:**

Oscar Axume, Treasurer  
Jasmeet Bains, President  
Ryan Jones, Board Member  
Martin Vasquez, Secretary  
Ruth Watterson, Board Member

**STAFF PRESENT:**

Adriana Antonio, Participant Choice Specialist  
Michi Gates, PhD, Executive Director  
Chloe Hayes, Participant Choice Specialist  
Kristine Khuu, Assistant Director of Client Services  
Celia Pinal, Director of Client Services  
Karina Proffer, Cultural Specialist  
Enrique Roman, Director of Community Services  
Tom Wolfgram, CFO

**GUESTS PRESENT:**

Sofia Benitez  
Cindy Cox, Advocate for Donald Tobias  
Jill Green  
John Noriega, Advocate for Simon Verdugo  
Edwin Pineda, DDS  
Mitzi Villalon

**INTERPRETER:** Nidya Madrigal Navia

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**CALL TO ORDER**

Mr. Kevin Gosselin, Vice President, chaired the KRC (Kern Regional Center) Board Meeting. Mr. Gosselin called the meeting to order at 6:10 p.m. and introductions were made.

**APPROVAL OF AGENDA**

The Board of Directors previously received the agenda for review. There were two changes requested by Dr. Gates: 1) Defer Item 6, *Approval of 2023 Performance Contract*, to the November Board Meeting, and 2) Note that the meeting listed at the bottom of the page for the next Board Meeting is incorrect; the corrected date is November 29, 2022.

Vice President Gosselin asked for a motion to approve the agenda of October 25, 2022 with the changes presented.

M/S/C: (Mensch, Prince)

Ayes: 7

Motion Carried



## REVIEW OF MINUTES

The Board of Directors previously received the minutes of the September 27, 2022 Board Meeting for review. No corrections were requested. Mr. Gosselin asked for a motion to approve the minutes of September 27, 2022.

M/S/C: (Mensch, Tobias)

Ayes: 7

Motion Carried

## PRESENTATION – SELF-DETERMINATION, PARTICIPANT CHOICE PROGRAM

*Adriana Antonio and Chloe Hayes, Participant Choice Specialists*

Dr. Gates gave a brief explanation of the newly funded positions of Participant Choice Specialists. The role of these positions is to help educate clients more about Self-Determination as well as other Participant Choice options. Karina Proffer, Cultural Specialist for KRC, introduced Adriana Antonio and Chloe Hayes as the new Participant Choice Specialists.

Ms. Antonio and Ms. Hayes presented the following information about the program:

- Meetings are being scheduled with Independent Facilitators (IF), Financial Management Services (FMS), families, and clients. The meetings involve transitioning to SDP or Participant Choice, Person-Centered Planning implementation, talking about unmet needs and changes in circumstances, and creating a spending plan.
- Meetings are being held, unit-by-unit, with service coordinators to give them information about the programs, how to enroll families and clients, and educating them about budget tools to present to families to create the spending plan.
- Participant-directed services is another option for family members to select their preferred provider from SLS, independent living service, supported employment, respite, and nursing care. Being able to hire their own staff was added in statute, making families more comfortable in using the service and addressing disparity issues.
- Regional Centers now pay for FMS versus it being paid from the client's budget.

Question by Tamerla Prince: Who is eligible to be an Independent Facilitator?

Answer by Ms. Hayes: Anyone is eligible who has certification. The State website has information on how to obtain certification.

Comment by Cindy Cox: She met Chloe and Adriana at a meeting with Community Integration Specialists and First Choice Solutions. They were very helpful and informative. She is an IF, and the parent of a KRC client, and she feels very strongly about these programs and the teamwork involved

Question by Kevin Gosselin: Do the funds for the IF come from their spending plan?

Answer by Enrique Roman: If there is a charge, it comes from the spending plan. If there is no charge, it is usually because the IF is volunteering. The family can also choose their Service Coordinator.

Comment by Dr. Gates: She feels the money spent for an IF is well worth it as they are specifically trained and highly involved.

Question by Tracey Mensch: How many individuals are enrolled in SDP?

Answer by Kristine Khuu: There are 113 individuals in SDP.

Comment by Dr. Gates: KRC has the highest percentage of individuals enrolled of the regional centers. KRC was a pilot program.

### **BOARD PRESIDENT'S REPORT**

Mr. Gosselin expressed thanks to the board members who continue to volunteer their time to advocate for our KRC clients and families. He is excited to see things moving forward to make information available and accessible to Kern RC. He has especially enjoyed having the education presentations added as an agenda item to the meetings. The KRC Board is making a positive difference locally as well as statewide.

### **PUBLIC INPUT**

No public questions or comments were presented at this meeting.

### **EXECUTIVE DIRECTOR REPORT**

*Dr. Michi Gates, Executive Director*

KRC continues to recruit and hire additional service coordinators and program managers. Dr. Gates expects this to be the case for some months to come as we grow in client numbers and deal with a difficult hiring climate.

Funding from DDS to reduce caseload ratios has been received; unfortunately, the funding is not sufficient for us to meet the caseload ratio goal of 1:40 for individuals under 6 and 1:62/66 for individuals 6 years and older. We are grateful for what we did receive as it will improve our services. We hope in future years to get additional funding to bring us to the ratios that are required, by statute, of KRC. Smaller caseloads will improve the quality of services to those we serve, allowing us to meet with them, thoroughly assess their needs, provide person-centered planning, and better prevent challenges before they occur.

Dr. Gates just returned from the ARCA Strategic Planning session. Some of the initiatives ARCA is hoping to present for the 2023-24 Budget Season:

1. The elimination of the Annual Family Program fee and the Family Cost Participation Program.
2. Mechanism for regular updates for service provider rate models.
3. Revision and update of the Core Staffing Formula.

Also discussed was Coordinated Family Support Services (similar to supportive living) expanding to all individuals, including those who continue to live with their family; i.e., Hispanic families and those living with elderly caregivers. This is very important as it allows us to stretch the services we offer, giving families the opportunity to build their own plan, using individuals they know and trust, with similar culture and language.

Another important part of the ARCA Strategic Planning Session involved the Little Hoover Commission. The Little Hoover Commission is a California State Oversight Agency that critiques state programs and identifies opportunities for improvement. The Developmental Services System is being studied by Little Hoover this year. The first of three public meetings were held on October 13. Some information shared by clients and families was very critical of our system; however, one of the Little Hoover representatives, who has knowledge and experience with DDS, acknowledged the problem that the Developmental Services System is viewed as an entitlement system, which means anyone meeting the criteria for



services is accepted, but the regional centers' budget is limited. KRC recognizes that there are definite improvements to make, even within a limited budget, and one of those factors is the importance of helping clients and families understand the complexity of the Regional Center System. Better knowledge of the systems will help provide answers to many questions.

There will be another public meeting Thursday, October 27, 1:30 – 4:00 p.m. The final public meeting is on November 10, 12:00 – 2:30 p.m. More information is available at [lhc.ca.gov](http://lhc.ca.gov). The website was shared in Zoom chat.

Hybrid Zoom meetings: We are still waiting to receive audio visual equipment, which has been ordered, but delayed. Dr. Gates does not anticipate that we will have hybrid meetings until January 2023.

## **FINANCIAL REPORT**

*Tom Wolfgram, CFO*

### **Purchase of Services Report as of August 31, 2022**

Total spent for month ending August 31, 2022: \$17,272,151

YTD: \$34,828,062

KRC has spent approximately \$800,000 more for services than by this time last year.

### **Operations Report as of August 31, 2022**

Total expenses for month ending August 31, 2022: \$1,939,236

YTD: \$3,686,106

The Purchase of Services Report and the Operations Report ending August 31, 2022 are filed with these minutes.

### **Vendor Advisory Committee Report**

*Tamerla Prince, VAC Representative*

The VAC Committee has not met since the last KRC Board Meeting, so there is nothing new to report.

The HCBS project awarded to Bakersfield ARC is up and running. They will be meeting on 10/26, 11/2 and 11/16.

Ms. Prince announced that she has an opportunity to be a paid trainer for the HCBS project. She wanted to make sure that this would not be a conflict of interest as a VAC Committee representative on the KRC Board. Dr. Gates and Vice-President Gosselin voiced that as long as she abstains from voting on motions connected to the HCBS project, there should be no issues. The Board appreciates the transparency.

## **ADJOURNMENT**

With nothing further to discuss, Mr. Gosselin adjourned the meeting at 7:16 p.m.

**Board Education Session on November 29, 2022, at 5:30 p.m. via Zoom.**

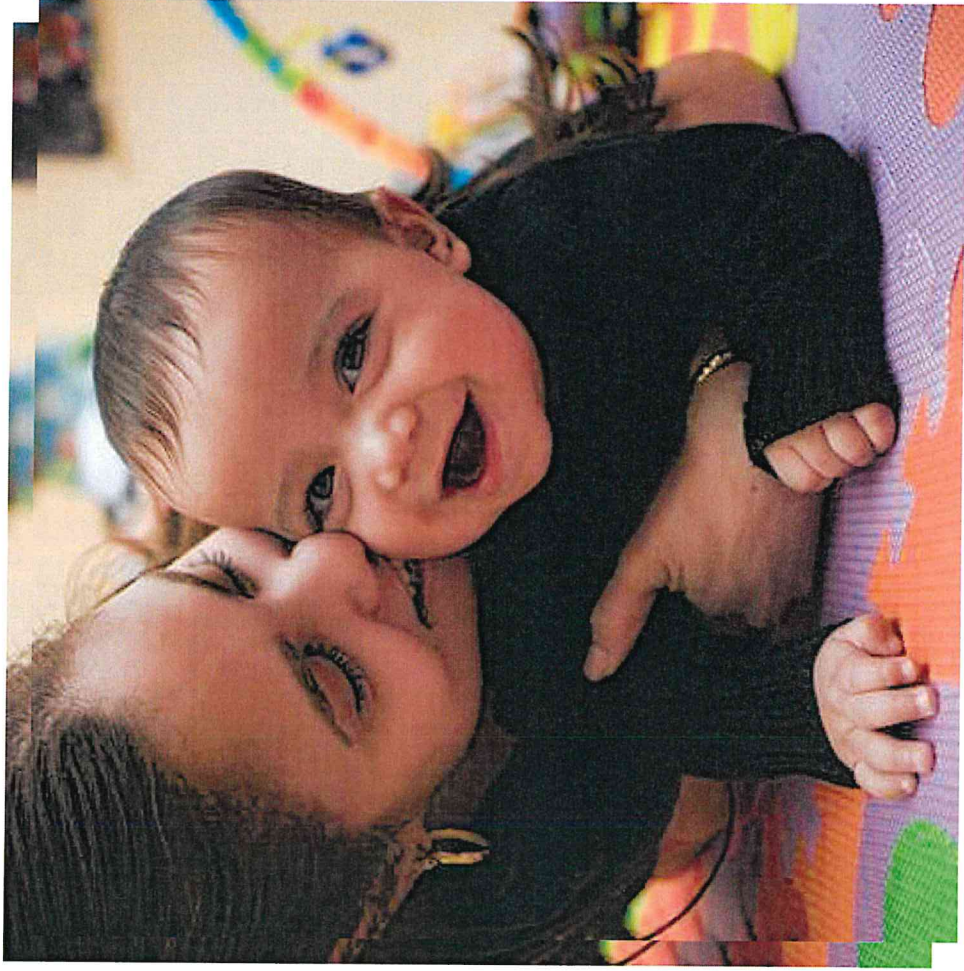
**The next public meeting will take place on Tuesday, November 29, 2022, at 6:00 p.m.**

*Respectfully Submitted,*

*Darlene Pankey  
Executive Assistant*



***ATTACHMENT 2***



**\*WHAT IS EARLY START?**

**\*REFERRAL PROCESS**

**\*ELIGIBILITY**

**\*INDIVIDUALIZED FAMILY  
SERVICE PLAN**

**\*TRANSITION PLANNING**

**\*FAMILY RESOURCE CENTER**







## What is Early Start?

California's early intervention program is called California Early Start. The program, Part C of the Individuals with Disabilities Education Act (IDEA) is a federal grant program that assists states in operating a comprehensive statewide program of early intervention services for infants and toddlers with disabilities, ages birth through age 2 years, and their families.

## REFERRAL PROCESS

Referral can be made by anyone

Parent must provide formal written consent for assessment services.

Kern Regional Center has 45 calendar days from the date of referral to determine Early Start eligibility and develop the Individualized Family Service Plan (IFSP).

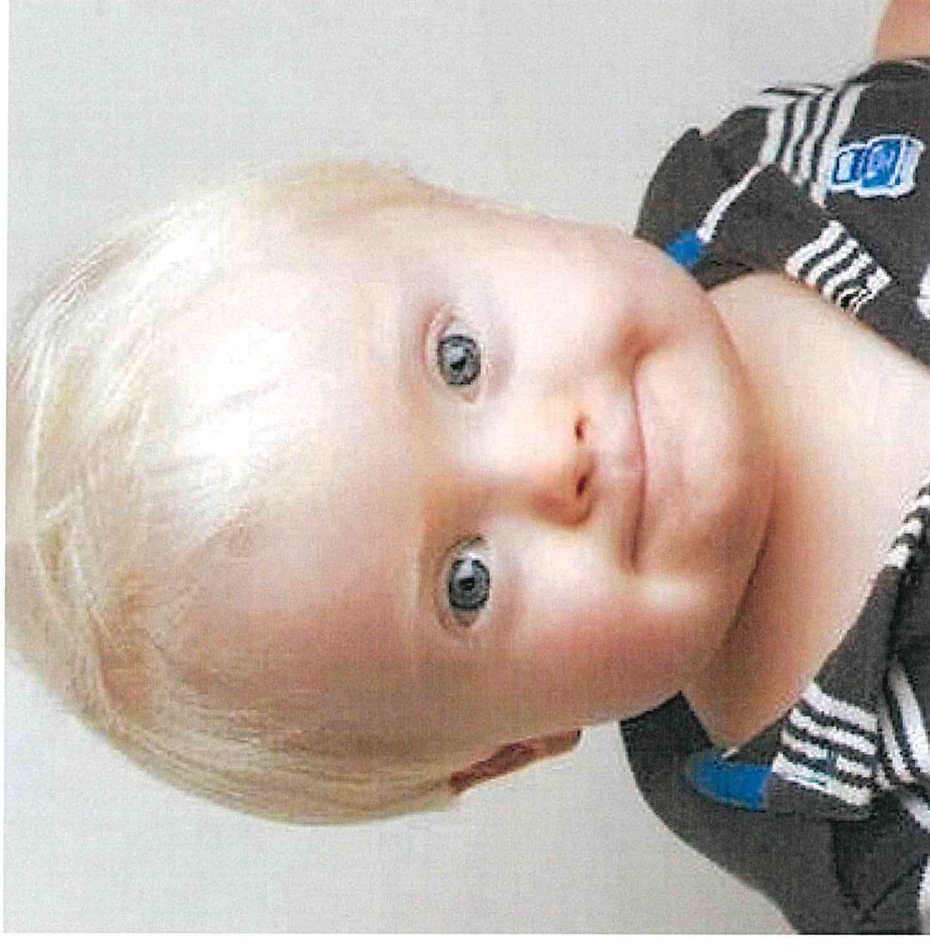


## ELIGIBILITY

Any child under age 3 who is demonstrating a **25%** delay in one or more areas of cognitive, communication (expressive or receptive), social or emotional, self-care/adaptive, or physical/motor development. (Developmental Services Trailer Bill SB 188)

Have an established risk condition of known etiology with a high probability of resulting in a delayed development.

Be considered at high risk of having a substantial developmental disability due to combination of biomedical factors (i.e. severe prematurity, seizures, Fetal Alcohol Syndrome.)

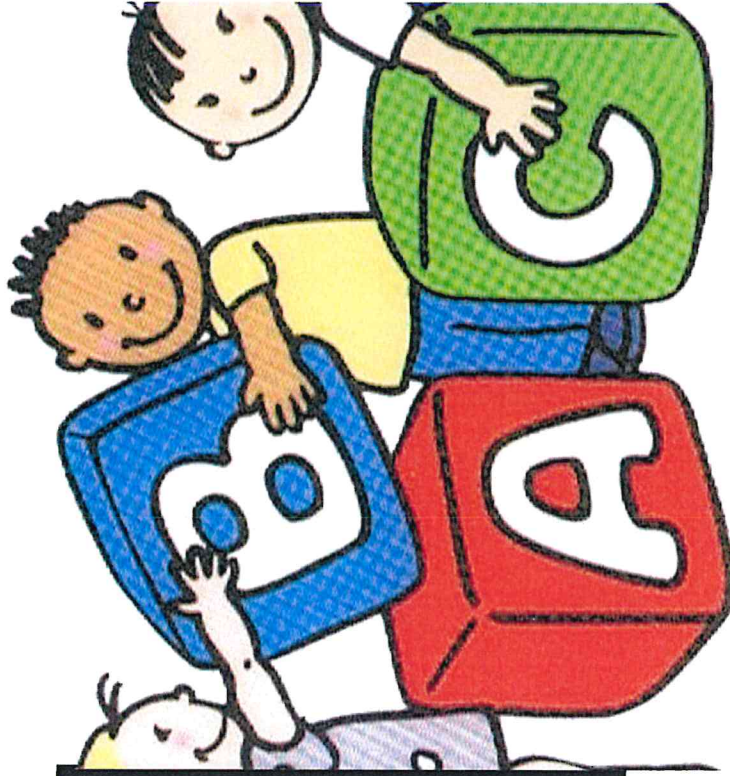




# IFSP

Service coordinator meets with the family to develop the Individualized Family Service Plan (IFSP).

The IFSP is based on child's developmental needs and parents concerns and priorities. The team identify appropriate early intervention services that can be provided in the natural home setting funded by regional center when there are no generic resource available.





## Transition Planning

Transition planning must be developed at least 90 days prior to the child's third birthday. Parents and service coordinators work together to develop a plan to best support their child once they turn 3. This plan should include steps and services needed to support the child once they exit out of the Early Start program.



## HOW LONG WILL EARLY START SERVICES PROVIDED?

Early Start services are available until child turns 3 years old.

Service may end early if the child is making significant progress and no longer demonstrating a 25% delay.





Exceptional Family Center is the Family Resource Center. Their goal is to help families get information about early intervention services and how to navigate the Early Start system.

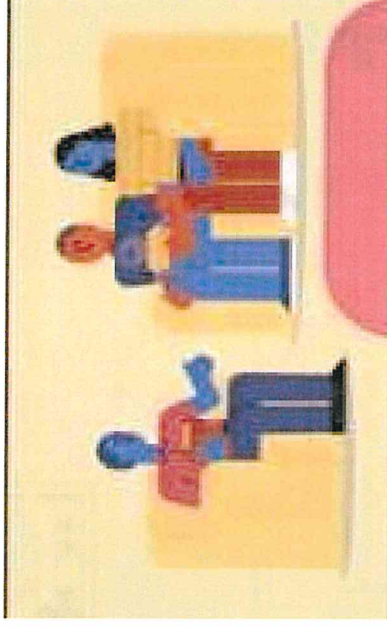
## Early Start Family Resource Center

The Staff of Exceptional Family Center



# THE STORY OF MAX

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AT THE END OF THE DAY, THE  
MOST OVERWHELMING KEY TO  
A CHILD'S SUCCESS IS THE  
POSITIVE INVOLVEMENT OF  
PARENTS.





**ATTACHMENT 3**

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MEMORANDUM

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**TO:** BOARD OF DIRECTORS, KERN REGIONAL CENTER

**FROM:** ENRIQUE ROMAN, DIRECTOR OF COMMUNITY SERVICES

**SUBJECT:** APPROVAL OF THE 2023/2024 PERFORMANCE CONTRACT

**DATE:** NOVEMBER 21, 2022

Board of Directors,

I come before you this evening to request approval of Kern Regional Center's (KRC) preliminary 2023/2024 Performance Contract.

The Department of Developmental Services establishes contracts with regional centers that include specific, measurable, performance objectives. The annual performance contracts are designed to help consumers achieve quality of life, reach meaningful progress above current baselines, and develop services and supports to meet consumer's needs.

With your approval of the preliminary Performance Contract, KRC will conduct two public meetings, one in English and the second in Spanish, to obtain our community's input on these objectives and the activities that will assist KRC in meeting the needs of our consumers. The proposed community meetings will be held virtually as follows,

- English language meeting will be held on December 12, 2022 at 6:00pm
- Spanish language meeting will be held on December 13, 2022 at 6:00pm

Included with this memorandum is the Proposed 2023/2024 KRC's Performance Contract for your review. I will present the 2023/2024 contract, incorporating community input, to the KRC Board at the January Board meeting for your final approval.

Respectfully,

*Enrique Roman*

Enrique Roman  
Director of Community Services

**Kern Regional Center  
Public Policy Performance Measures (Required)**

**January 1, 2023 through June 30, 2024**

| <i>Measures</i>   | <i>Statewide<br/>Average<br/>June 2021</i> | <i>KRC<br/>Baseline as<br/>of June<br/>2021</i> | <i>Statewide<br/>Average July<br/>2022</i> | <i>KRC<br/>Baseline as<br/>of July<br/>2022</i> | <i>Activities Regional Center will Employ to Achieve Outcome</i>   |
|---|--|---|--|---|--|
| <b>1. Number and<br/>percent of Regional<br/>Center consumers<br/>in Developmental<br/>Centers (DC)<br/>(lower is better)</b> | <b>0.07%<br/>255</b>                       | <b>0.10%<br/>11</b>                             | <b>0.06%<br/>233</b>                       | <b>0.08%<br/>9</b>                              | <ul style="list-style-type: none"> <li>• KRC will identify supports that are essential to meeting the consumer's needs prior to the consumer moving into the community.</li> <li>• KRC will do outreach (i.e., partnership meeting with key holders such as Department of Mental Health) and give information to community providers interested in serving this specialized population.</li> <li>• KRC will continue to implement its Community Placement Plan (CPP)/Community Resource Development Plan (CRDP), which identifies the current needs and services of individuals residing in developmental centers.</li> <li>• KRC will deflect placements from the DC whenever possible consistent with consumers needs.</li> <li>• KRC will inform families, developmental center staff and consumers about all choices available, and encourage them to evaluate all options.</li> <li>• KRC will complete comprehensive assessment (initial/update) for consumers residing in the developmental centers who meet criteria for placement.</li> <li>• KRC will facilitate placement of consumers from the developmental center to appropriate community settings.</li> <li>• KRC will develop community resources that would serve individuals with complex needs.</li> </ul> |



| <i>Measures</i>  | <i>Statewide<br/>Average<br/>June 2021</i> | <i>KRC<br/>Baseline as<br/>of June<br/>2021</i> | <i>Statewide<br/>Average July<br/>2022</i> | <i>KRC<br/>Baseline<br/>as of<br/>July 2022</i> | <i>Activities Regional Center will Employ to Achieve Outcome</i>  |
|--|--|---|--|---|---|
| <b>2. Number and percent of minors residing with families (own family, foster family, guardian) (higher is better)</b> | 99.53%<br>182,139                          | 99.62%<br>5,459                                 | 99.60%<br>196,913                          | 99.69%<br>6,134                                 | <ul style="list-style-type: none"> <li>Continue to develop programs to serve children.</li> <li>Provide information and referral to parents about Family Resource Center(s).</li> <li>Provide enhanced training to service coordination staff on available service delivery options (Self-Determination, Participant Directed services, etc.) to allow clients/families more flexibility in creating support plans that would better fit their individual family circumstance.</li> </ul> |
| <b>3. Number and percent of adults residing in independent living (higher is better)</b>                               | 9.76%<br>17,608                            | 8.01%<br>409                                    | 9.48%<br>17,651                            | 7.61%<br>404                                    | <ul style="list-style-type: none"> <li>Service coordinators will discuss and provide Independent Living Services (ILS) options with consumers and families using a person-centered process.</li> <li>Provide enhanced training to service coordination staff on available service delivery options (Self-Determination, Participant Directed services, etc.) to allow clients more flexibility in creating support plans that would better fit their individual need.</li> </ul>          |
| <b>4. Number and percent of adults residing in supported living (higher is better)</b>                                 | 5.18%<br>9,348                             | 9.75%<br>498                                    | 5.02%<br>9,350                             | 9.06%<br>481                                    | <ul style="list-style-type: none"> <li>Continue to provide information on Supported Living Service (SLS) options to consumers and families using a person-centered process.</li> <li>Provide enhanced training to service coordination staff on available service delivery options (Self-Determination, Participant Directed services, etc.) to allow clients more flexibility in creating support plans that would better fit their individual need.</li> </ul>                          |
| <b>5. Number and percent of adults residing in Adult Family Home Agency (AFHA) homes (higher is better)</b>            | 0.89%<br>1,609                             | 4.23%<br>216                                    | 0.82%<br>1,529                             | 3.88%<br>206                                    | <ul style="list-style-type: none"> <li>Continue to work with FHA providers to comply with statutory monitoring requirements.</li> <li>Increase AFHA availability</li> </ul>   |

| <i>Measures</i>  | <i>Statewide<br/>Average<br/>June 2021</i> | <i>KRC<br/>Baseline as<br/>of June<br/>2021</i> | <i>Statewide<br/>Average<br/>June 2022</i> | <i>KRC<br/>Baseline as<br/>of July<br/>2022</i> | <i>Activities Regional Center will Employ to Achieve Outcome</i>  |
|--|--|---|--|---|---|
| <b>6. Number and percent of adults residing in family homes (home of parent or guardian)<br/>(higher is better)</b>  | <b>66.36%<br/>119,712</b>                  | <b>64.51%<br/>3,294</b>                         | <b>67.43%<br/>125,589</b>                  | <b>66.41%<br/>3,527</b>                         | <ul style="list-style-type: none"> <li>Continue to provide services and support to maintain consumers in the family home.</li> <li>Provide service coordination staff with ongoing training on available service delivery options (Self-Determination, Participant Directed services, etc.) to allow clients/families more flexibility in creating support plans that would better fit their individual family circumstance.</li> </ul> |
| <b>7. Number and percent of adults residing in home settings (independent or supported living, Adult Family Home Agency and Family homes)<br/>(higher is better)</b> | <b>82.20%<br/>148,277</b>                  | <b>86.51%<br/>4,417</b>                         | <b>82.75%<br/>154,119</b>                  | <b>86.95%<br/>4,618</b>                         | <ul style="list-style-type: none"> <li>See #3 through #6 above.</li> </ul>  |

| <i>Measures</i>  | <i>Statewide<br/>Average<br/>June 2021</i> | <i>KRC<br/>Baseline as<br/>of June<br/>2021</i> | <i>Statewide<br/>Average July<br/>2022</i> | <i>KRC<br/>Baseline as<br/>of July<br/>2022</i> | <i>Activities Regional Center will Employ to Achieve Outcome</i>   |
|--|--|---|--|---|--|
| <b>8. Number and percent of minors living in facilities serving greater than 7 - (ICF, SNF,CCF).<br/>(lower is better)</b> | <b>0.03%<br/>66</b>                        | <b>0.00%<br/>0</b>                              | <b>0.03%<br/>54</b>                        | <b>0.00%<br/>0</b>                              | <ul style="list-style-type: none"> <li>Continue to identify and track children at risk of institutional placement.</li> </ul>  |
| <b>9. Number and percent of adults living in facilities serving greater than 7 - (ICF, SNF, CCF)<br/>(lower is better)</b> | <b>1.84%<br/>3,323</b>                     | <b>.96%<br/>49</b>                              | <b>1.71%<br/>3,188</b>                     | <b>0.75%<br/>40</b>                             | <ul style="list-style-type: none"> <li>Continue to identify and track adults in large facilities.</li> <li>With the assistance of clinical staff, case management will review the appropriateness of current placement and assess for placement options based on medical needs.</li> </ul> |



**Public Policy Performance Measures (Related to Employment)**

| <b>Measures</b>   | <b>Statewide Average</b>          | <b>KRC</b>                     | <b>Statewide Average</b>   | <b>KRC</b>   | <b>Activities Regional Center will Employ to Achieve Outcome</b>  |
|---|-----------------------------------|--------------------------------|--|--|---|
| <b>1. Number and percentage of consumers, ages 16-64 with earned income.<br/>(higher is better)</b>   | <b>2019<br/>17.17%<br/>25,710</b> | <b>2019<br/>14.03%<br/>583</b> | <b>2020<br/>(NCI In-Person Survey)<br/>18.86% NCI<br/>22,772</b> | <b>2020 (NCI In-Person Survey)<br/>16.36%<br/>587</b>        | <ul style="list-style-type: none"> <li>Identify consumers ages 16-64 with earned income.</li> <li>Maintain local partnership agreement between KRC, local educational agencies, and the Department of Rehabilitation.</li> <li>Provide ongoing training to case management staff and service providers on KRC's Employment First Policy and and other client employment initiatives.</li> <li>Track progress.</li> <li>Continue to educate supportive employment providers on KRC's employment first policy and Competitive Integrated Employment policies.</li> <li>Provide ongoing training to case management staff and service providers on KRC's Employment First Policy and and other client employment initiatives.</li> </ul> |
| <b>2. Average annual wages for consumers ages 16-64<br/>(higher is better)</b>  | <b>2019<br/>\$8,772</b>           | <b>2019<br/>\$8,795</b>        | <b>2020<br/>\$9,733</b>  | <b>Average PIP/CIE Annual Income<br/>\$10,224<br/>(2020)</b> | <ul style="list-style-type: none"> <li>Track progress.</li> <li>Continue to educate supportive employment providers on KRC's Employment First Policy and Competitive Integrated Employment policies.</li> <li>Provide ongoing training to case management staff and service providers on KRC's Employment First Policy and and other client employment initiatives.</li> </ul>  |
| <b>3. Annual earnings of consumers ages 16-64 compared to people with all disabilities in CA<br/>(higher is better)</b>                                       | <b>2020<br/>\$25,900</b>          | <b>TBD</b>                     | <b>2021<br/>\$26,794</b>   | <b>TBD</b>   | <ul style="list-style-type: none"> <li>Track progress.</li> <li>Continue to educate supportive employment providers on KRC's Employment First Policy and Competitive Integrated Employment policies.</li> <li>Maintain local partnership agreement between KRC, local educational agencies, and the Department of Rehabilitation.</li> <li>Provide ongoing training to case management staff and service providers on KRC's Employment First Policy and and other client employment initiatives.</li> </ul>   |
| <b>4. Number of adults who were placed in competitive, integrated employment following participation in a Paid Internship Program.<br/>(higher is better)</b> | <b>2019-20<br/>8</b>              | <b>2019-20<br/>4</b>           | <b>2020-21<br/>6</b>   | <b>2020-21<br/>6</b>   | <ul style="list-style-type: none"> <li>Provide training and information to staff, community, and local providers regarding the Paid Internship Program (PIP).</li> <li>Identify and track consumers participating in PIP.</li> <li>Partner with local businesses, Dept. of Rehab, and school to increase number of PIP participants.</li> <li>Provide ongoing training to case management staff and service providers on KRC's Employment First Policy and and other client employment initiatives.</li> </ul>  |

| <i>Measures</i>   | <i>Statewide Average</i>           | <i>KRC Baseline</i>                | <i>Statewide Average</i>           | <i>KRC Baseline</i>                | <i>Activities Regional Center will Employ to Achieve Outcome</i>  |
|---|------------------------------------|------------------------------------|------------------------------------|------------------------------------|---|
| 5. Percentage of adults who are placed in competitive, integrated employment following Participation in a Paid Internship Program<br><i>(higher is better)</i>                    | 2019-20<br>9%                      | 2019-20<br>8%                      | 2020-21<br>14%                     | 2020-21<br>14%                     | <ul style="list-style-type: none"> <li>Track progress.</li> <li>Maintain local partnership agreement between KRC, local educational agencies, and the Department of Rehabilitation.</li> <li>Provide ongoing training to case management staff and service providers on KRC's Employment First Policy and and other client employment initiatives.</li> </ul> |
| 6. Average hourly or salaried wages and hours worked per week for adults who participated in a Paid Internship Program during the prior fiscal year.<br><i>(higher is better)</i> | 2019-20<br>\$13.31/hr<br>16 hrs/wk | 2019-20<br>\$12.75/hr<br>18 hrs/wk | 2020-21<br>\$14.75/hr<br>17 hrs/wk | 2020-21<br>\$13.87/hr<br>15 hrs/wk | <ul style="list-style-type: none"> <li>Track progress.</li> <li>Data obtained from DDS annual Report</li> <li>Provide ongoing training to case management staff and service providers on KRC's Employment First Policy and and other client employment initiatives.</li> </ul>  |



| <i>Measures</i>  | <i>Statewide Average</i>                             | <i>KRC Baseline</i>                            | <i>Statewide Average</i>                             | <i>KRC Baseline</i>                            | <i>Activities Regional Center will Employ to Achieve Outcome</i>   |
|--|--|--|--|--|--|
| 7. Average wages and hours worked for adults engaged in competitive, integrated employment, on behalf of whom incentive payments have been made.<br><i>(higher is better)</i>  | 2019-20<br>\$13.52/hr<br>21 hrs/wk                   | 2019-20<br>\$12.58/hr<br>20 hrs/wk             | 2020-21<br>\$14.81/hr<br>23 hrs/wk                   | 2020-21<br>\$14.97/hr<br>30 hrs/wk             | <ul style="list-style-type: none"> <li>Track progress.</li> <li>Data obtained from DDS annual Report.</li> <li>Provide ongoing training to case management staff and service providers on KRC's Employment First Policy and other client employment initiatives.</li> </ul>  |
| 8. Total number of \$1000, \$1250 and \$1500 incentive payments made for the fiscal year<br><i>(higher is better)</i><br><i>Note: Effective 07/01/21 incentive payments have changed to \$2,000, \$2,500 and \$3,000</i> | 2019-20<br>\$1000 (34)<br>\$1250 (28)<br>\$1500 (22) | 2019-20<br>\$1000(4)<br>\$1250(6)<br>\$1500(0) | 2020-21<br>\$1000 (33)<br>\$1250 (19)<br>\$1500 (17) | 2020-21<br>\$1000(8)<br>\$1250(2)<br>\$1500(3) | <ul style="list-style-type: none"> <li>Track progress.</li> <li>Data obtained from DDS annual Report.</li> <li>Provide ongoing training to case management staff and service providers on KRC's Employment First Policy and other client employment initiatives.</li> </ul>  |
| 9. Percentage of adults who reported having integrated employment as a goal in their IPP<br><i>(higher is better)</i>  | 2017-18<br>State Avg<br>29%                          | 2017-18<br>KRC Avg<br>31%                      | 2020-21<br>State Avg<br>35%                          | TBD  | <ul style="list-style-type: none"> <li>KRC to develop a plan on how to track these objectives.</li> <li>Provide service coordination staff with enhanced training on proper IPP development.</li> <li>Obtain National Core Indicator (NCI) Survey.</li> <li>NCI measure "community employment" versus "integrated employment.</li> </ul> |



**Public Policy Performance Measures (Related to Reducing Disparities and Improving Equity in Purchase of Services Expenditures)**

| <i>Measures</i>  | <i>KRC FY 2019-20<br/>% Utilized<br/>ALL AGES</i>  | <i>KRC FY 2019-20<br/>Age &amp;<br/>Utilized</i>                             | <i>KRC FY 2020-21<br/>% Utilized<br/>ALL AGES</i>  | <i>KRC FY 2020-21<br/>Age &amp;<br/>Utilized</i>                             | <i>Activities Regional Center will Employ to Achieve Outcome</i>  |
|--|--|--|--|--|---|
| <p>Percent of total annual purchase of service expenditures by individual's ethnicity and age:</p> <p>* Birth to age two, inclusive.</p> <p>* Age three to 21, inclusive.</p> <p>* Twenty-two and older.</p> | <p>Asian 63.7%</p> <p>-</p> <p>Black/AA 69%</p> <p>-</p> <p>Native Hawaiian or Other Pacific Islander 83.7%</p> <p>-</p> <p>Hispanic 66.8%</p> <p>-</p> <p>Native American 70.8%</p> <p>-</p> <p>White 69%</p> | <p>0 - 2 61.4%</p> <p>-</p> <p>3 - 21 56.1%</p> <p>-</p> <p>22 - ^ 68.6%</p> | <p>Asian 59.4%</p> <p>-</p> <p>Black/AA 63.9%</p> <p>-</p> <p>Native Hawaiian or Other Pacific Islander 71%</p> <p>-</p> <p>Hispanic 60.9%</p> <p>-</p> <p>Native American 38.2%</p> <p>-</p> <p>White 63.9%</p> | <p>0 - 2 56.3%</p> <p>-</p> <p>3 - 21 57.5%</p> <p>-</p> <p>22 - ^ 63.4%</p> | <ul style="list-style-type: none"> <li>• KRC will utilize the DDS Disparity Grant(s) to implement a plan to address disparity for KRC consumers.</li> <li>• Conduct outreach and training through educational presentations about regional center services in venues serving families with young children in KRC's catchment area, utilizing Early Start networks.</li> <li>• Enhanced training for staff to assess thoroughly the needs of the consumer and families, and to follow through with the referral process essential to access the correct service.</li> <li>• Service Coordinator will monitor closely to review the utilization of services on a periodic basis and identify barriers in accessing services.</li> <li>• KRC to observe vendor data trends for encumbrances vs. utilization and establish a threshold for appropriate encumbrance vs. utilization. If utilization falls under standard, review the current practices that are in place and make adjustment as needed.</li> <li>• Service Coordinators to work closely with clients, families and vendors when services are not utilized.</li> <li>• KRC to provide training to service coordination staff on different modalities of service delivery (Self-Determination, Participant Directed services, etc.) utilizing specialized staff (enhanced service coordinators, participant choice specialists, Deaf and Hard of Hearing specialist, etc.).</li> </ul> |

| <i>Measures</i>  | <i>KRC FY 2019-20 Ethnicity All Ages</i>   | <i>KRC FY 2019-20 All Ethnicities</i>                     | <i>KRC FY 2020-21 Ethnicity All Ages</i>  | <i>KRC FY 2021-20 All Ethnicities</i>                            | <i>Activities Regional Center will Employ to Achieve Outcome</i>  |
|--|--|---|---|--|---|
| <p>Number and percent of individuals receiving only case management services by age and ethnicity: *</p> <p>Birth to age two, inclusive.</p> <p>* Age three to 21, inclusive.</p> <p>* Twenty-two and older.</p> | <p>Asian 29.3%</p> <p>-</p> <p>Black/AA 21.8%</p> <p>-</p> <p>Native Hawaiian or Other Pacific Islander 14.3%</p> <p>-</p> <p>Hispanic 32.5%</p> <p>-</p> <p>Native American 32.1%</p> <p>-</p> <p>Other 30.4%</p> <p>-</p> <p>White 25.5%</p> | <p>0 - 2 5.9%</p> <p>3 - 21 44.5%</p> <p>22 - ^ 17.7%</p> | <p>Asian 31.8%</p> <p>-</p> <p>Black/AA 24.9%</p> <p>-</p> <p>Native Hawaiian or Other Pacific Islander 0.0%</p> <p>-</p> <p>Hispanic 32.5%</p> <p>-</p> <p>Native American 23.8%</p> <p>-</p> <p>Other 30.4%</p> <p>-</p> <p>White 25.5%</p> | <p>0 - 2 9%</p> <p>-</p> <p>3 - 21 45.6%</p> <p>22 - ^ 18.7%</p> | <ul style="list-style-type: none"> <li>Through the Individual Program Plan (IPP) process KRC will assure that case management continues to be sufficient to meet the needs of the clients.</li> <li>Through the Individual Program Plan (IPP) process KRC will include all services not funded by POS dollars.</li> <li>Hire additional case worker to reduce caseload ratio.</li> <li>Enhanced training for Service Coordinator in reviewing POS expenditures and utilization, follow up with family utilization of services and support and documentation of generic resources.</li> <li>KRC to provide training to service coordination staff on different modalities of service delivery (Self-Determination, Participant Directed services, etc.) utilizing specialized staff (enhanced service coordinators, participant choice specialists, Deaf and Hard of Hearing specialist, etc.).</li> </ul> |



| <i>Measures</i>   |                             | <i>Yes/No</i>  | <i>Activities Regional Center will Employ to Achieve Outcome</i>   |
|---|-----------------------------|--|--|
| 1. Unqualified independent audit with no material finding(s).                       |                             | YES  | <ul style="list-style-type: none"> <li>Establish, apply and maintain good business practices and generally accepted accounting principles.</li> </ul>    |
| 2. Substantial compliance with Department of Developmental Services fiscal audit    |                             | YES  | <ul style="list-style-type: none"> <li>Establish, apply and maintain good business practices and generally accepted accounting principles.</li> </ul>    |
| 3. Accuracy percent of POS fiscal projections (based on February SOAR)              |                             | YES  | <ul style="list-style-type: none"> <li>Strive to improved accuracy of POS fiscal projections based on history and ongoing utilization review.</li> </ul> |
| 4. Operates within OPS budget   |                             | YES  | <ul style="list-style-type: none"> <li>Develop plan to operate within the operational funds allocation.</li> </ul>                                       |
| 5. Certified to participate in Waiver   |                             | YES  | <ul style="list-style-type: none"> <li>Maintain compliance with Medicaid Waiver requirements</li> </ul>  |
| 6. Compliance with Vendor Audit Requirements per contract, Article III, Section 10. |                             | YES  | <ul style="list-style-type: none"> <li>Maintain compliance with contract.</li> </ul>   |
| <i>Measures</i>   |                             | <i>Activities Regional Center will Employ to Achieve Outcome</i> |  |
|   | Statewide Average June 2021 | KRC Baseline as of June 2021                                     | KRC Baseline as of July 2022   |
|   | 98.39%                      | 98.35%   | 97.81%   |
|   | Statewide Average July 2022 |  |  |
|   | 98.21%                      |  |  |
| 7. CDER/ESR Currency  |                             |  | <ul style="list-style-type: none"> <li>Continue to monitor timely completion of CDER/ESR.</li> </ul>   |



| <i>Measures</i>   | <i>State Target</i>                | <i>FFY 2018-19 Report</i>           | <i>FFY 2020-21 Report</i>          | <i>FFY 2021-22 Report</i>           | <i>Activities Regional Center will Employ to Achieve Outcome</i>   |
|---|------------------------------------|-------------------------------------|------------------------------------|-------------------------------------|--|
| 8. Intake/assessment and IFSP time lines (0-2).                 | 100%                               | 96%                                 | 94.30%                             | TBD                                 | <ul style="list-style-type: none"> <li>Implement to ensure timely completion of intake/assessment and IFSP. Maintain compliance with T17 requirements [R3].</li> </ul>   |
| <i>Measures</i>   | <i>Statewide Average June 2021</i> | <i>KRC Baseline as of June 2020</i> | <i>Statewide Average July 2021</i> | <i>KRC Baseline as of July 2021</i> | <i>Activities Regional Center will Employ to Achieve Outcome</i>   |
| 9. Intake/assessment time lines for consumers ages 3 and above. | 98.27%                             | 99.39%                              | 95.05%                             | 87.09%                              | <ul style="list-style-type: none"> <li>Implement plan to ensure timely completion of intake/assessment in accordance with W&amp;I section 4642 &amp; 4643.</li> <li>Complete a comprehensive review of the Intake Process to move towards meeting statutory requirements. • Recruit and develop sufficient clinical resources (psychologists) to provide thorough assessment for individuals for individuals applying for Lanterman services.</li> </ul> |

| <i>Measures</i>   | <i>Federal Revenue Audit Report 2017</i> | <i>Federal Revenue Audit Report 2019</i> | <i>Federal Revenue Audit Report 2021</i> | <i>Activities Regional Center will Employ to Achieve Outcome</i>  |
|---|--|--|--|---|
| <b>10. IPP Development (WIC requirements (2.6.a))</b>                                   | <b>90%</b>                               | <b>97%</b>                               | <b>TBD</b>                               | <ul style="list-style-type: none"> <li>• Comply with all requirement of WIC 4646.5(c)(3) for timely completion of individual program plans for consumers receiving services under the Lanterman Act.</li> <li>• Hire additional case worker to reduce caseload ratio.</li> <li>• Enhance training on IPP timelines, including familiarity with WIC codes for seasoned or senior Service Coordinators</li> <li>• New Service Coordinators will be trained on all aspects of the IPP process, including timelines.</li> </ul>   |
| <i>Measures</i>   | <i>State Target</i>                      | <i>FFY 2019-20 Report</i>                | <i>FFY 2020-21 Report</i>                | <i>Activities Regional Center will Employ to Achieve Outcome</i>  |
| <b>11. An Initial IFSP Development Part C 45 day time line (Title 17 requirements).</b> | <b>100%</b>                              | <b>76%</b>                               | <b>100%</b>                              | <ul style="list-style-type: none"> <li>• Comply with all requirement of Title 17 for timely completion of individual program plans for infants and children receiving Early Intervention services [R5].</li> <li>• Service Coordinators will be trained on all aspect of the IFSP process, including time lines.</li> <li>• A thorough documentation will be completed whenever there are issues with delays in completing assessment to account when there are exceptional family circumstances which impacts 45 days timeline.</li> <li>• Develop additional resources in completing Early Start assessments for the growth in number of referrals for children under the age of 3 to KRC.</li> <li>• Ensure to have adequate resources to complete assessment in a timely manner.</li> </ul> |



REGIONAL CENTER PERFORMANCE MEASURES

Review Performance Measures will be reviewed with staff to understand expectations.

| Focus Area                     | Measure  | Measure Description  |
|--------------------------------|--|--|
| Early Start                    | Child Find and Identification                            | 1. RC submits a Child Find Plan and will work with DDS to establish a reporting structure that will be used by all RCs to report on measures and the types of outreach/child find activities supported by RC staff or funding<br>2. Number of children identified* in proportion to the total number of 0-2 year-old children in the county, or zipcode, reported by language, race and ethnicity. |
|                                | Timely Access to Early Start Services                    | Rate of Individual Family Service Plan (IFSPs) completed within the Federally required 45-day timeframe from receipt of referral.  |
| Employment                     | Participation in Competitive Integrated Employment (CIE) | Number of consumers who participate in competitive integrated employment (CIE) for at least 30 days during the reporting period stratified by:<br>* Students enrolled in or attending secondary education<br>* Adults who are no longer enrolled in or attending secondary education   |
|                                | Data Points and Reporting for CIE                        | RCs will work with DDS to establish data points and reporting in SANDIS regarding interest in or actively participating in CIE.  |
| Equity and Cultural Competency | Linguistic Diversity                                     | 1. Number of bilingual Service Coordinators (SCs) including intake staff and first line supervisors for each language.<br>2. Language distribution across people receiving RC services   |
|                                | Language Access  | Number of requests for translated IPP documents and length of time to complete request.  |



REGIONAL CENTER PERFORMANCE MEASURES

| Focus Area  | Measure   | Measure Description   |
|---|---|---|
| Individual and Family Experience and Satisfaction   | Service Coordinator Competency in Cultural and Ethnic Diversity | Percentage of Service Coordinators (SCs) including intake staff and first line supervisors participating in training related to cultural and linguistic competency.   |
|   | Consumer/Family Satisfaction with Regional Center Services      | RCs will work with DDS to establish annual feedback from individuals receiving RC services and Family members, with eight or more common components agreed upon across all 21 RCs   |
| Person-Centered Services Planning                   | Service Plans Demonstrate Person-Centered Criteria              | RCs commit to the development and use of a consistent person-centered service plan document.  |
|   | Service Coordinator Facilitation Skills                         | Number of caertified Person-Centered Plan Facilitation Trainers employed by the RC and qualified to deliver plan facilitation training.   |
| Service Coordination and Regional Center Operations | Choice of Services within Regional Center                       | Number of vendors for each service type within the RC catchment area, reported by zip code  |
|   | Timely Service Authorizations                                   | Number of days between annual individual program plan (IPP) review and service authorization, reported as an average and range.   |
|   | Service Coordinator Competency                                  | 1. Develop a set of Service Coordinators (SC) training standards and competencies approved by DDS for use statewide<br>2. Establish data elements for reporting on number of SCs who completed all requirements within the standards. |
|   | Intake Process  | RCs agree to develop and utilize a standard intake process that includes core elements articulated by DDS, focused on customer service.   |

## STATEMENT OF ASSURANCES

This is to assure that **Kern Regional Center** Calendar Year 2023-24 Performance Contract was developed in accordance with the requirements specified in Welfare and Institutions Code section 4629 and the Department of Developmental Services' (DDS) Year 2023-24 Performance Contract Guidelines.

The performance contract was developed through a public process which included:

- Providing information, in an understandable form, to the community about regional center services and supports, including budget information and baseline data on services and supports and the regional center operations [WIC 4629 (c)(B)(i)];
- Conducting a public meeting where participants can provide input on performance objectives and using focus groups or surveys to collect information from the community [WIC 4629 (c)(B)(ii)];
- Providing at least ten calendar days advance public notice of the date of the public meeting (guidelines); and,
- Circulating a draft of the performance objectives to the community for input prior to presentation at a regional center board meeting where additional public input will be taken and considered before adoption of the objectives [WIC 4629 (c)(B)(iii)];

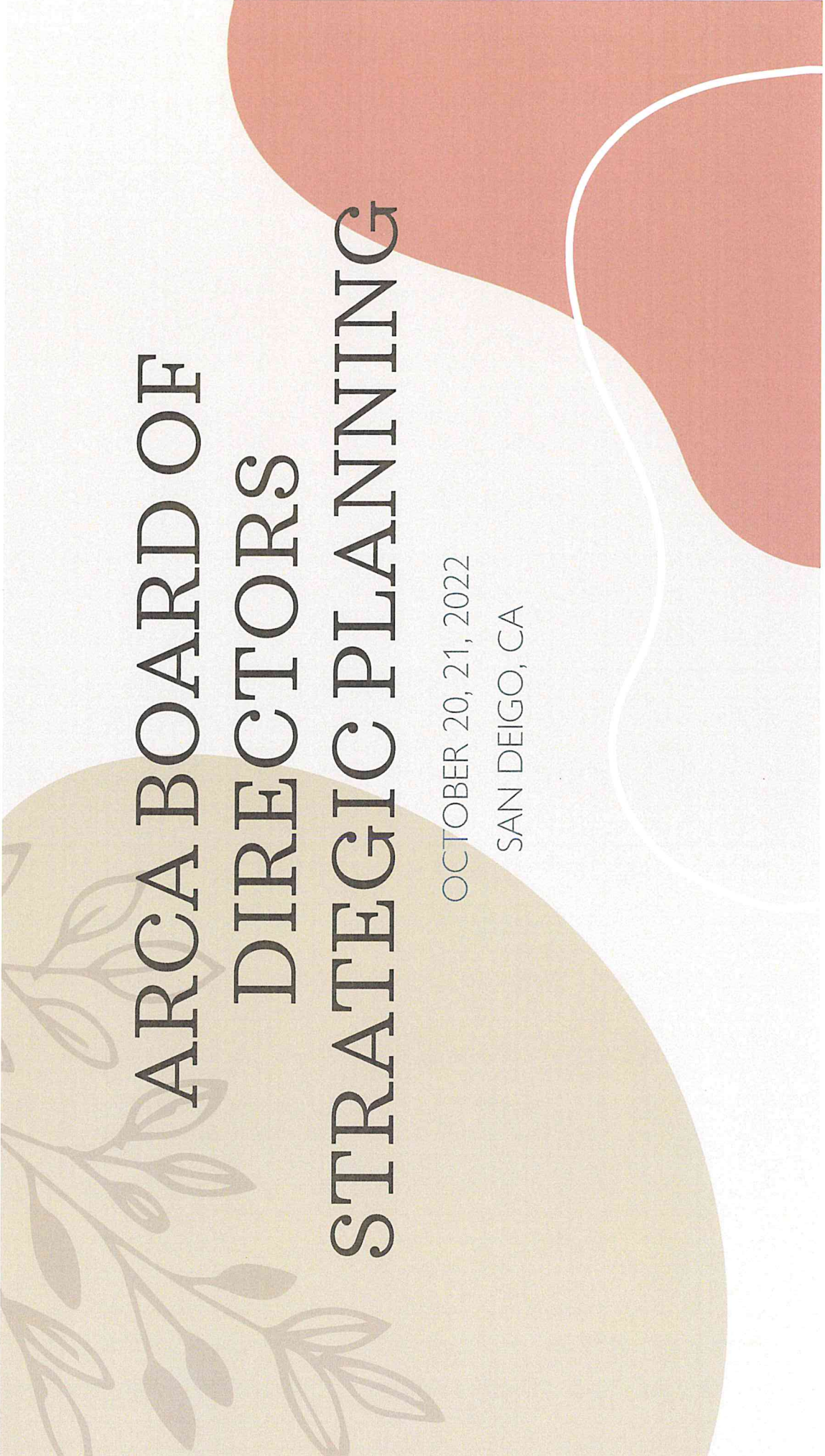
Signature of RC Director:

Michi A. Gates, Ph.D. Kern Regional Center Executive Director

Date:

***ATTACHMENT 4***





# ARCA BOARD OF DIRECTORS STRATEGIC PLANNING

OCTOBER 20, 21, 2022

SAN DEIGO, CA





# agenda

## ARCA BOARD OF DIRECTORS RETREAT CALLED TO ORDER

### INTRODUCTIONS

Vision, mission, values

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## OPENING ROUND AT TABLES

Overview of the day, expectations,  
outcomes, steps after today, working  
agreements

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## TABLE DISCUSSIONS OF THEMES EMERGING FROM SURVEY RESULTS /PRIORITIZING FOCUS AREAS

Focus area individual ranking

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## RESULTS OF POLLING

Focus areas in priority order

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## GALLERY WALK REVIEW

Review draft descriptions





# agenda

## PRESENTATION OF FOCUS AREAS AND PROPOSED OBJECTIVES

For next 3 years.

- REGIONAL CENTER OPS  
FUNDING
  - SELF-DIRECTED SERVICES  
IMPLEMENTED
  - FLEXIBLY MEETING THE  
NEEDS OF DIVERSE  
COMMUNITIES
  - SUSTAINABLE COST-BASED  
SERVICE RATES
  - HOUSING, EMPLOYMENT,  
AND HCBS FINAL RULE  
IMPLEMENTATION
- 

## NEXT STEPS

For executive board of  
directors and goals and topics

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# THANK YOU VERY MUCH

TRACEYMENSCH

FOR MORE INFORMATION:

- ❖ ARCA – [www.arca.org](http://www.arca.org) – (916) 446-7961
- ❖ KERN REGIONAL CENTER – [www.kernrc.org](http://www.kernrc.org) – (661) 327-8531

***ATTACHMENT 5***

[illegible]



***ATTACHMENT 6***

KERN REGIONAL CENTER  
OPERATIONS  
FY 2022/2023  
AS OF SEPTEMBER 30, 2022

|                            | PROPOSED<br>EXPENDITURE | YEAR TO<br>DATE | 07/31/22  | 08/31/22  | 09/30/22  | 10/31/22 | 11/30/22 | 12/31/22 | 01/31/23 | 02/28/23 | 03/31/23 | 04/30/23 | 05/31/23 | 06/30/23 | TOTAL     | OVER/UNDER |
|----------------------------|-------------------------|-----------------|-----------|-----------|-----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|-----------|------------|
| OPERATIONS                 |                         |                 |           |           |           |          |          |          |          |          |          |          |          |          |           |            |
| Salaries & Benefits        | 20,378,826              | 5,486,607       | 1,427,790 | 1,567,602 | 2,011,650 |          |          |          |          |          |          |          |          |          | 5,007,042 | 479,565    |
| Operating Expenses         | 4,768,000               | 1,283,692       | 306,691   | 363,842   | 403,645   |          |          |          |          |          |          |          |          |          | 1,074,178 | 209,514    |
| SUBTOTAL OPS               | 25,146,826              | 6,770,299       | 1,734,481 | 1,931,444 | 2,415,295 |          |          |          |          |          |          |          |          |          | 6,081,220 | 689,079    |
| COMMUNITY PLACEMENT PLAN   |                         |                 |           |           |           |          |          |          |          |          |          |          |          |          |           |            |
| Salaries & Benefits        | -                       | -               | -         | -         | -         | -        | -        | -        | -        | -        | -        | -        | -        | -        | -         | -          |
| Operating Expenses         | -                       | -               | -         | -         | -         | -        | -        | -        | -        | -        | -        | -        | -        | -        | -         | -          |
| SUBTOTAL CPP               | -                       | -               | -         | -         | -         | -        | -        | -        | -        | -        | -        | -        | -        | -        | -         | -          |
| FOSTER GRANDPARENT PROGRAM |                         |                 |           |           |           |          |          |          |          |          |          |          |          |          |           |            |
| Salaries & Benefits        | 98,040                  | 26,395          | 3,823     | 4,467     | 6,206     |          |          |          |          |          |          |          |          |          | 14,496    | 11,900     |
| Operating Expenses         | 151,503                 | 37,876          | 3,444     | 153       | 4,221     |          |          |          |          |          |          |          |          |          | 7,818     | 30,058     |
| SUBTOTAL FGP               | 249,543                 | 64,271          | 7,267     | 4,620     | 10,427    |          |          |          |          |          |          |          |          |          | 22,314    | 41,957     |
| SENIOR COMPANION PROGRAM   |                         |                 |           |           |           |          |          |          |          |          |          |          |          |          |           |            |
| Salaries & Benefits        | 65,360                  | 17,597          | 2,557     | 2,978     | 4,137     |          |          |          |          |          |          |          |          |          | 9,672     | 7,925      |
| Operating Expenses         | 101,002                 | 25,251          | 2,565     | 194       | 1,715     |          |          |          |          |          |          |          |          |          | 4,474     | 20,777     |
| SUBTOTAL SCP               | 166,362                 | 42,847          | 5,122     | 3,172     | 5,852     |          |          |          |          |          |          |          |          |          | 14,146    | 28,701     |
| TOTAL OPERATIONS           | 25,562,731              | 6,877,418       | 1,746,869 | 1,939,236 | 2,431,574 |          |          |          |          |          |          |          |          |          | 6,117,680 | 759,738    |