



Board of Directors Meeting Agenda
Tuesday, November 29, 2022
6:00 – 7:30 p.m.

General Business		
1. Call to Order and Introductions		Oscar Axume
2. Approval/Additions to Agenda	Action	Oscar Axume
3. Review and approve minutes of meeting held on October 25, 2022 (Attachment 1)	Action	Oscar Axume
4. Presentation – Intake / Early-Start Program (Attachment 2)	Info	Lulu Calvillo Nicola Perkins
5. Public Input	Info.	
New Business		
6. Approval of Preliminary 2023 Performance Contract (Attachment 3)	Action	Enrique Roman
7. ARCA Report	Info	Tracey Mensch
Reports		
8. Board President Report	Info.	Oscar Axume
9. Executive Director Report	Info.	Dr. Michi Gates
10. Financial Report a. POS Report for September 30, 2022 (Attachment 4) b. Operations Report for September 30, 2022 (Attachment 5)	Info	Tom Wolfgram
11. Vendor Advisory Committee Report	Info.	Tamerla Prince

Please click the link below to join the webinar:

<https://us02web.zoom.us/j/86842722010?pwd=NUJnSTNGb3hHSE9rRzFsWFBWdTTJ0QT09>

Webinar ID: 868 4272 2010 Passcode: 313526
Dial-In Number: (206) 337-9723

Next Board Meeting:
January 24, 2023, 6:00 – 7:30 PM

**Kern Regional Center
Board of Directors Meeting
October 25, 2022
6:00 – 7:30 p.m.
Zoom Webinar**

3200 N. Sillect Avenue ∞ Bakersfield, CA 93308 ∞ 661-327-8531

MINUTES

KRC BOARD MEMBERS PRESENT:

Kevin Gosselin, Vice President
Carlos Isidoro, Board Member
Tracey Mensch, Board Member
Tamerla Prince, VAC Representative
Donald Tobias, Board Member
Mark Tolentino, Board Member
Simon Verdugo, Board Member

KRC BOARD MEMBERS ABSENT:

Oscar Axume, Treasurer
Jasmeet Bains, President
Ryan Jones, Board Member
Martin Vasquez, Secretary
Ruth Watterson, Board Member

STAFF PRESENT:

Adriana Antonio, Participant Choice Specialist
Michi Gates, PhD, Executive Director
Chloe Hayes, Participant Choice Specialist
Kristine Khuu, Assistant Director of Client Services
Celia Pinal, Director of Client Services
Karina Proffer, Cultural Specialist
Enrique Roman, Director of Community Services
Tom Wolfgram, CFO

GUESTS PRESENT:

Sofia Benitez
Cindy Cox, Advocate for Donald Tobias
Jill Green
John Noriega, Advocate for Simon Verdugo
Edwin Pineda, DDS
Mitzi Villalon

INTERPRETER: Nidya Madrigal Navia

CALL TO ORDER

Mr. Kevin Gosselin, Vice President, chaired the KRC (Kern Regional Center) Board Meeting. Mr. Gosselin called the meeting to order at 6:10 p.m. and introductions were made.

APPROVAL OF AGENDA

The Board of Directors previously received the agenda for review. There were two changes requested by Dr. Gates: 1) Defer Item 6, *Approval of 2023 Performance Contract*, to the November Board Meeting, and 2) Note that the meeting listed at the bottom of the page for the next Board Meeting is incorrect; the corrected date is November 29, 2022.

Vice President Gosselin asked for a motion to approve the agenda of October 25, 2022 with the changes presented.

M/S/C: (Mensch, Prince)

Ayes: 7

Motion Carried

REVIEW OF MINUTES

The Board of Directors previously received the minutes of the September 27, 2022 Board Meeting for review. No corrections were requested. Mr. Gosselin asked for a motion to approve the minutes of September 27, 2022.

M/S/C: (Mensch, Tobias)

Ayes: 7

Motion Carried

PRESENTATION – SELF-DETERMINATION, PARTICIPANT CHOICE PROGRAM

Adriana Antonio and Chloe Hayes, Participant Choice Specialists

Dr. Gates gave a brief explanation of the newly funded positions of Participant Choice Specialists. The role of these positions is to help educate clients more about Self-Determination as well as other Participant Choice options. Karina Proffer, Cultural Specialist for KRC, introduced Adriana Antonio and Chloe Hayes as the new Participant Choice Specialists.

Ms. Antonio and Ms. Hayes presented the following information about the program:

- Meetings are being scheduled with Independent Facilitators (IF), Financial Management Services (FMS), families, and clients. The meetings involve transitioning to SDP or Participant Choice, Person-Centered Planning implementation, talking about unmet needs and changes in circumstances, and creating a spending plan.
- Meetings are being held, unit-by-unit, with service coordinators to give them information about the programs, how to enroll families and clients, and educating them about budget tools to present to families to create the spending plan.
- Participant-directed services is another option for family members to select their preferred provider from SLS, independent living service, supported employment, respite, and nursing care. Being able to hire their own staff was added in statute, making families more comfortable in using the service and addressing disparity issues.
- Regional Centers now pay for FMS versus it being paid from the client's budget.

Question by Tamerla Prince: Who is eligible to be an Independent Facilitator?

Answer by Ms. Hayes: Anyone is eligible who has certification. The State website has information on how to obtain certification.

Comment by Cindy Cox: She met Chloe and Adriana at a meeting with Community Integration Specialists and First Choice Solutions. They were very helpful and informative. She is an IF, and the parent of a KRC client, and she feels very strongly about these programs and the teamwork involved

Question by Kevin Gosselin: Do the funds for the IF come from their spending plan?

Answer by Enrique Roman: If there is a charge, it comes from the spending plan. If there is no charge, it is usually because the IF is volunteering. The family can also choose their Service Coordinator.

Comment by Dr. Gates: She feels the money spent for an IF is well worth it as they are specifically trained and highly involved.

Question by Tracey Mensch: How many individuals are enrolled in SDP?

Answer by Kristine Khuu: There are 113 individuals in SDP.

Comment by Dr. Gates: KRC has the highest percentage of individuals enrolled of the regional centers. KRC was a pilot program.

BOARD PRESIDENT'S REPORT

Mr. Gosselin expressed thanks to the board members who continue to volunteer their time to advocate for our KRC clients and families. He is excited to see things moving forward to make information available and accessible to Kern RC. He has especially enjoyed having the education presentations added as an agenda item to the meetings. The KRC Board is making a positive difference locally as well as statewide.

PUBLIC INPUT

No public questions or comments were presented at this meeting.

EXECUTIVE DIRECTOR REPORT

Dr. Michi Gates, Executive Director

KRC continues to recruit and hire additional service coordinators and program managers. Dr. Gates expects this to be the case for some months to come as we grow in client numbers and deal with a difficult hiring climate.

Funding from DDS to reduce caseload ratios has been received; unfortunately, the funding is not sufficient for us to meet the caseload ratio goal of 1:40 for individuals under 6 and 1:62/66 for individuals 6 years and older. We are grateful for what we did receive as it will improve our services. We hope in future years to get additional funding to bring us to the ratios that are required, by statute, of KRC. Smaller caseloads will improve the quality of services to those we serve, allowing us to meet with them, thoroughly assess their needs, provide person-centered planning, and better prevent challenges before they occur.

Dr. Gates just returned from the ARCA Strategic Planning session. Some of the initiatives ARCA is hoping to present for the 2023-24 Budget Season:

1. The elimination of the Annual Family Program fee and the Family Cost Participation Program.
2. Mechanism for regular updates for service provider rate models.
3. Revision and update of the Core Staffing Formula.

Also discussed was Coordinated Family Support Services (similar to supportive living) expanding to all individuals, including those who continue to live with their family; i.e., Hispanic families and those living with elderly caregivers. This is very important as it allows us to stretch the services we offer, giving families the opportunity to build their own plan, using individuals they know and trust, with similar cultur and language.

Another important part of the ARCA Strategic Planning Session involved the Little Hoover Commission. The Little Hoover Commission is a California State Oversight Agency that critiques state programs and identifies opportunities for improvement. The Developmental Services System is being studied by Little Hoover this year. The first of three public meetings were held on October 13. Some information shared by clients and families was very critical of our system; however, one of the Little Hoover representatives, who has knowledge and experience with DDS, acknowledged the problem that the Developmental Services System is viewed as an entitlement system, which means anyone meeting the criteria for

services is accepted, but the regional centers' budget is limited. KRC recognizes that there are definite improvements to make, even within a limited budget, and one of those factors is the importance of helping clients and families understand the complexity of the Regional Center System. Better knowledge of the systems will help provide answers to many questions.

There will be another public meeting Thursday, October 27, 1:30 – 4:00 p.m. The final public meeting is on November 10, 12:00 – 2:30 p.m. More information is available at lhc.ca.gov. The website was shared in Zoom chat.

Hybrid Zoom meetings: We are still waiting to receive audio visual equipment, which has been ordered, but delayed. Dr. Gates does not anticipate that we will have hybrid meetings until January 2023.

FINANCIAL REPORT

Tom Wolfgram, CFO

Purchase of Services Report as of August 31, 2022

Total spent for month ending August 31, 2022: \$17,272,151

YTD: \$34,828,062

KRC has spent approximately \$800,000 more for services than by this time last year.

Operations Report as of August 31, 2022

Total expenses for month ending August 31, 2022: \$1,939,236

YTD: \$3,686,106

The Purchase of Services Report and the Operations Report ending August 31, 2022 are filed with these minutes.

Vendor Advisory Committee Report

Tamerla Prince, VAC Representative

The VAC Committee has not met since the last KRC Board Meeting, so there is nothing new to report.

The HCBS project awarded to Bakersfield ARC is up and running. They will be meeting on 10/26, 11/2 and 11/16.

Ms. Prince announced that she has an opportunity to be a paid trainer for the HCBS project. She wanted to make sure that this would not be a conflict of interest as a VAC Committee representative on the KRC Board. Dr. Gates and Vice-President Gosselin voiced that as long as she abstains from voting on motions connected to the HCBS project, there should be no issues. The Board appreciates the transparency.

ADJOURNMENT

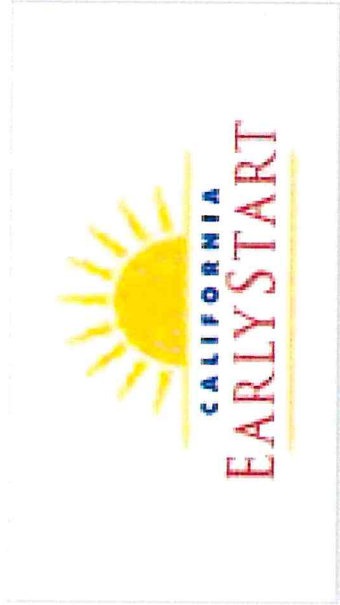
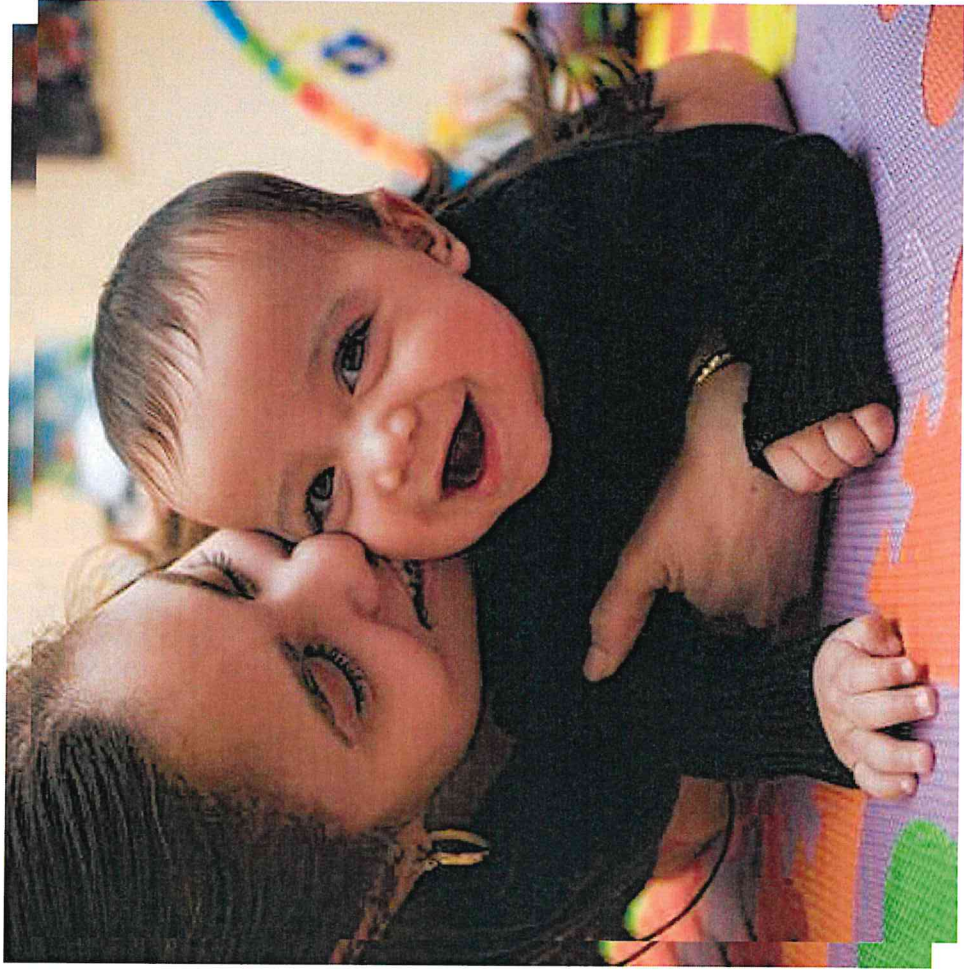
With nothing further to discuss, Mr. Gosselin adjourned the meeting at 7:16 p.m.

Board Education Session on November 29, 2022, at 5:30 p.m. via Zoom.

The next public meeting will take place on Tuesday, November 29, 2022, at 6:00 p.m.

Respectfully Submitted,

*Darlene Pankey
Executive Assistant*



***WHAT IS EARLY START?**

***REFERRAL PROCESS**

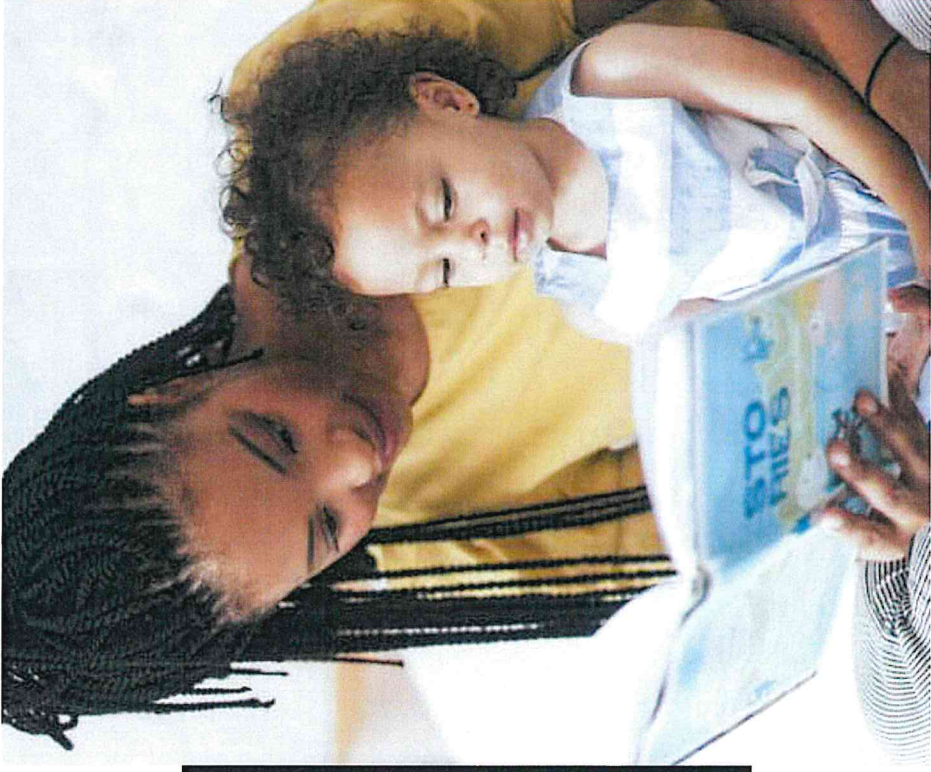
***ELIGIBILITY**

***INDIVIDUALIZED FAMILY
SERVICE PLAN**

***TRANSITION PLANNING**

***FAMILY RESOURCE CENTER**





What is Early Start?

California's early intervention program is called California Early Start. The program, Part C of the Individuals with Disabilities Education Act (IDEA) is a federal grant program that assists states in operating a comprehensive statewide program of early intervention services for infants and toddlers with disabilities, ages birth through age 2 years, and their families.

REFERRAL PROCESS

Referral can be made by anyone

Parent must provide formal written consent for assessment services.

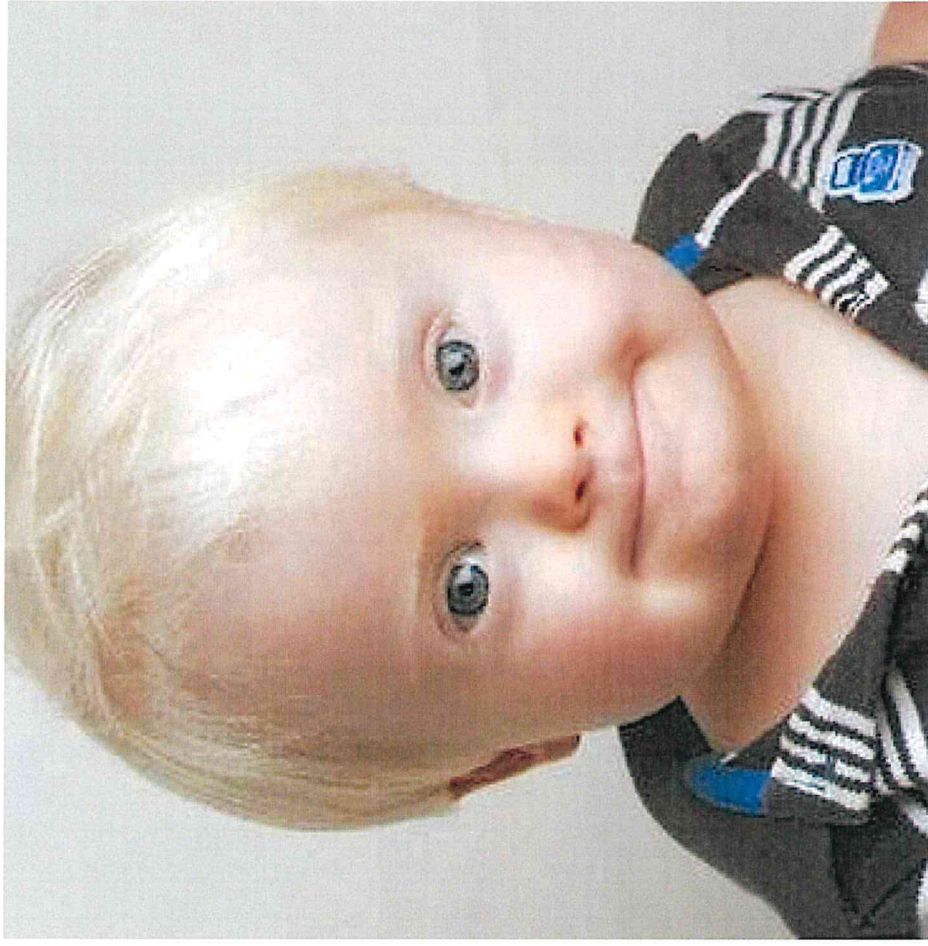
Kern Regional Center has 45 calendar days from the date of referral to determine Early Start eligibility and develop the Individualized Family Service Plan (IFSP).

ELIGIBILITY

Any child under age 3 who is demonstrating a **25%** delay in one or more areas of cognitive, communication (expressive or receptive), social or emotional, self-care/adaptive, or physical/motor development. (Developmental Services Trailer Bill SB 188)

Have an established risk condition of known etiology with a high probability of resulting in a delayed development.

Be considered at high risk of having a substantial developmental disability due to combination of biomedical factors (i.e. severe prematurity, seizures, Fetal Alcohol Syndrome.)



IFSP

Service coordinator meets with the family to develop the Individualized Family Service Plan (IFSP).

The IFSP is based on child's developmental needs and parents concerns and priorities. The team identify appropriate early intervention services that can be provided in the natural home setting funded by regional center when there are no generic resource available.





Transition Planning

Transition planning must be developed at least 90 days prior to the child's third birthday. Parents and service coordinators work together to develop a plan to best support their child once they turn 3. This plan should include steps and services needed to support the child once they exit out of the Early Start program.

HOW LONG WILL EARLY START SERVICES PROVIDED?

Early Start services are available until child turns 3 years old.

Service may end early if the child is making significant progress and no longer demonstrating a 25% delay.



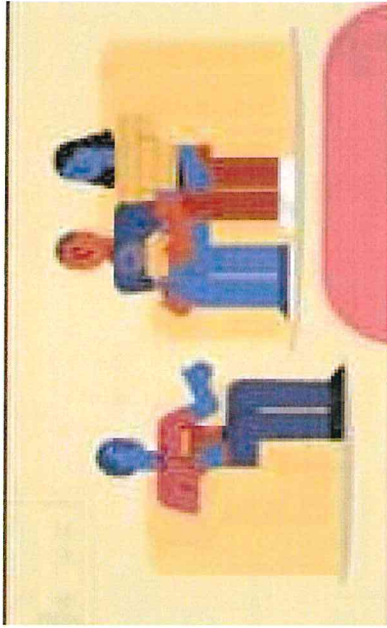
Exceptional Family Center is the Family Resource Center. Their goal is to help families get information about early intervention services and how to navigate the Early Start system.

Early Start Family Resource Center

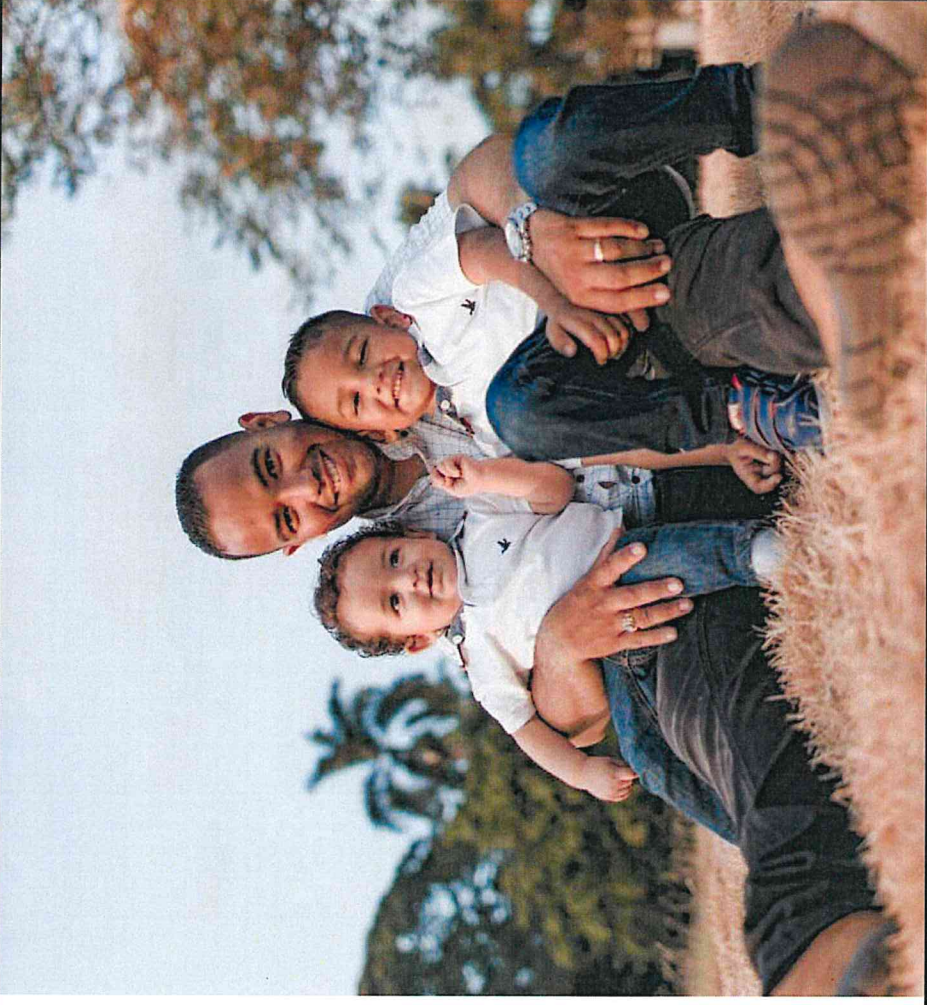
The Staff of Exceptional Family Center



THE STORY OF MAX



AT THE END OF THE DAY, THE
MOST OVERWHELMING KEY TO
A CHILD'S SUCCESS IS THE
POSITIVE INVOLVEMENT OF
PARENTS.



MEMORANDUM

TO: BOARD OF DIRECTORS, KERN REGIONAL CENTER
FROM: ENRIQUE ROMAN, DIRECTOR OF COMMUNITY SERVICES
SUBJECT: APPROVAL OF THE 2023/2024 PERFORMANCE CONTRACT
DATE: NOVEMBER 21, 2022

Board of Directors,

I come before you this evening to request approval of Kern Regional Center's (KRC) preliminary 2023/2024 Performance Contract.

The Department of Developmental Services establishes contracts with regional centers that include specific, measurable, performance objectives. The annual performance contracts are designed to help consumers achieve quality of life, reach meaningful progress above current baselines, and develop services and supports to meet consumer's needs.

With your approval of the preliminary Performance Contract, KRC will conduct two public meetings, one in English and the second in Spanish, to obtain our community's input on these objectives and the activities that will assist KRC in meeting the needs of our consumers. The proposed community meetings will be held virtually as follows,

- English language meeting will be held on December 12, 2022 at 6:00pm
- Spanish language meeting will be held on December 13, 2022 at 6:00pm

Included with this memorandum is the Proposed 2023/2024 KRC's Performance Contract for your review. I will present the 2023/2024 contract, incorporating community input, to the KRC Board at the January Board meeting for your final approval.

Respectfully,

Enrique Roman

Enrique Roman
Director of Community Services

PERFORMANCE CONTRACT PLAN

January 1, 2023 through June 30, 2024

**Kern Regional Center
Public Policy Performance Measures (Required)**

Measures	Statewide Average June 2021	KRC Baseline as of June 2021	Statewide Average July 2022	KRC Baseline as of July 2022	Activities Regional Center will Employ to Achieve Outcome
<p>1. Number and percent of Regional Center consumers in Developmental Centers (DC) <i>(lower is better)</i></p>	<p>0.07% 255</p>	<p>0.10% 11</p>	<p>0.06% 233</p>	<p>0.08% 9</p>	<ul style="list-style-type: none"> • KRC will identify supports that are essential to meeting the consumer's needs prior to the consumer moving into the community. • KRC will do outreach (i.e., partnership meeting with key holders such as Department of Mental Health) and give information to community providers interested in serving this specialized population. • KRC will continue to implement its Community Placement Plan (CPP)/Community Resource Development Plan (CRDP), which identifies the current needs and services of individuals residing in developmental centers. • KRC will deflect placements from the DC whenever possible consistent with consumers needs. • KRC will inform families, developmental center staff and consumers about all choices available, and encourage them to evaluate all options. • KRC will complete comprehensive assessment (initial/update) for consumers residing in the developmental centers who meet criteria for placement. • KRC will facilitate placement of consumers from the developmental center to appropriate community settings. • KRC will develop community resources that would serve individuals with complex needs.

PERFORMANCE CONTRACT PLAN

<i>Measures</i>	<i>Statewide Average June 2021</i>	<i>KRC Baseline as of June 2021</i>	<i>Statewide Average July 2022</i>	<i>KRC Baseline as of July 2022</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
2. Number and percent of minors residing with families (own family, foster family, guardian) (higher is better)	99.53% 182,139	99.62% 5,459	99.60% 196,913	99.69% 6,134	<ul style="list-style-type: none"> Continue to develop programs to serve children. Provide information and referral to parents about Family Resource Center(s). Provide enhanced training to service coordination staff on available service delivery options (Self-Determination, Participant Directed services, etc.) to allow clients/families more flexibility in creating support plans that would better fit their individual family circumstance.
3. Number and percent of adults residing in independent living (higher is better)	9.76% 17,608	8.01% 409	9.48% 17,651	7.61% 404	<ul style="list-style-type: none"> Service coordinators will discuss and provide Independent Living Services (ILS) options with consumers and families using a person-centered process. Provide enhanced training to service coordination staff on available service delivery options (Self-Determination, Participant Directed services, etc.) to allow clients more flexibility in creating support plans that would better fit their individual need.
4. Number and percent of adults residing in supported living (higher is better)	5.18% 9,348	9.75% 498	5.02% 9,350	9.06% 481	<ul style="list-style-type: none"> Continue to provide information on Supported Living Service (SLS) options to consumers and families using a person-centered process. Provide enhanced training to service coordination staff on available service delivery options (Self-Determination, Participant Directed services, etc.) to allow clients more flexibility in creating support plans that would better fit their individual need.
5. Number and percent of adults residing in Adult Family Home Agency (AFHA) homes (higher is better)	0.89% 1,609	4.23% 216	0.82% 1,529	3.88% 206	<ul style="list-style-type: none"> Continue to work with FHA providers to comply with statutory monitoring requirements. Increase AFHA availability

PERFORMANCE CONTRACT PLAN

Measures	Statewide Average June 2021	KRC Baseline as of June 2021	Statewide Average June 2022	KRC Baseline as of July 2022	Activities Regional Center will Employ to Achieve Outcome
<p>6. Number and percent of adults residing in family homes (home of parent or guardian) <i>(higher is better)</i></p>	<p>66.36% 119,712</p>	<p>64.51% 3,294</p>	<p>67.43% 125,589</p>	<p>66.41% 3,527</p>	<ul style="list-style-type: none"> Continue to provide services and support to maintain consumers in the family home. Provide service coordination staff with ongoing training on available service delivery options (Self-Determination, Participant Directed services, etc.) to allow clients/families more flexibility in creating support plans that would better fit their individual family circumstance.
<p>7. Number and percent of adults residing in home settings (independent or supported living, Adult Family Home Agency and Family homes) <i>(higher is better)</i></p>	<p>82.20% 148,277</p>	<p>86.51% 4,417</p>	<p>82.75% 154,119</p>	<p>86.95% 4,618</p>	<ul style="list-style-type: none"> See #3 through #6 above.

PERFORMANCE CONTRACT PLAN

Measures	Statewide Average June 2021	KRC Baseline as of June 2021	Statewide Average July 2022	KRC Baseline as of July 2022	Activities Regional Center will Employ to Achieve Outcome
<p>8. Number and percent of minors living in facilities serving greater than 7 - (ICF, SNF,CCF). (lower is better)</p>	<p>0.03% 66</p>	<p>0.00% 0</p>	<p>0.03% 54</p>	<p>0.00% 0</p>	<ul style="list-style-type: none"> Continue to identify and track children at risk of institutional placement.
<p>9. Number and percent of adults living in facilities serving greater than 7 - (ICF, SNF, CCF) (lower is better)</p>	<p>1.84% 3,323</p>	<p>.96% 49</p>	<p>1.71% 3,188</p>	<p>0.75% 40</p>	<ul style="list-style-type: none"> Continue to identify and track adults in large facilities. With the assistance of clinical staff, case management will review the appropriateness of current placement and assess for placement options based on medical needs.

PERFORMANCE CONTRACT PLAN

Public Policy Performance Measures (Related to Employment)

Measures	Statewide Average	KRC	Statewide Average	KRC	Activities Regional Center will Employ to Achieve Outcome
1. Number and percentage of consumers, ages 16-64 with earned income. (higher is better)	2019 17.17% 25,710	2019 14.03% 583	2020 (NCI In-Person Survey) 18.86% NCI 22,772	2020 (NCI In-Person Survey) 16.36% 587	<ul style="list-style-type: none"> Identify consumers ages 16-64 with earned income. Maintain local partnership agreement between KRC, local educational agencies, and the Department of Rehabilitation. Provide ongoing training to case management staff and service providers on KRC's Employment First Policy and and other client employment initiatives. Track progress. Continue to educate supportive employment providers on KRC's employment first policy and Competitive Integrated Employment policies. Provide ongoing training to case management staff and service providers on KRC's Employment First Policy and and other client employment initiatives.
2. Average annual wages for consumers ages 16-64 (higher is better)	2019 \$8,772	2019 \$8,795	2020 \$9,733	Average PIP/CIE Annual Income \$10,224 (2020)	<ul style="list-style-type: none"> Track progress. Continue to educate supportive employment providers on KRC's Employment First Policy and Competitive Integrated Employment policies. Provide ongoing training to case management staff and service providers on KRC's Employment First Policy and and other client employment initiatives.
3. Annual earnings of consumers ages 16-64 compared to people with all disabilities in CA (higher is better)	2020 \$25,900	TBD	2021 \$26,794	TBD	<ul style="list-style-type: none"> Track progress. Continue to educate supportive employment providers on KRC's Employment First Policy and Competitive Integrated Employment policies. Maintain local partnership agreement between KRC, local educational agencies, and the Department of Rehabilitation. Provide ongoing training to case management staff and service providers on KRC's Employment First Policy and and other client employment initiatives.
4. Number of adults who were placed in competitive, integrated employment following participation in a Paid Internship Program. (higher is better)	2019-20 8	2019-20 4	2020-21 6	2020-21 6	<ul style="list-style-type: none"> Provide training and information to staff, community, and local providers regarding the Paid Internship Program (PIP). Identify and track consumers participating in PIP. Partner with local businesses, Dept. of Rehab, and school to increase number of PIP participants. Provide ongoing training to case management staff and service providers on KRC's Employment First Policy and and other client employment initiatives.

PERFORMANCE CONTRACT PLAN

<i>Measures</i>	<i>Statewide Average</i>	<i>KRC Baseline</i>	<i>Statewide Average</i>	<i>KRC Baseline</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
<p>5. Percentage of adults who are placed in competitive, integrated employment following Participation in a Paid Internship Program <i>(higher is better)</i></p>	<p>2019-20 9%</p>	<p>2019-20 8%</p>	<p>2020-21 14%</p>	<p>2020-21 14%</p>	<ul style="list-style-type: none"> • Track progress. • Maintain local partnership agreement between KRC, local educational agencies, and the Department of Rehabilitation. • Provide ongoing training to case management staff and service providers on KRC's Employment First Policy and and other client employment initiatives.
<p>6. Average hourly or salaried wages and hours worked per week for adults who participated in a Paid Internship Program during the prior fiscal year. <i>(higher is better)</i></p>	<p>2019-20 \$13.31/hr 16 hrs/wk</p>	<p>2019-20 \$12.75/hr 18 hrs/wk</p>	<p>2020-21 \$14.75/hr 17 hrs/wk</p>	<p>2020-21 \$13.87/hr 15 hrs/wk</p>	<ul style="list-style-type: none"> • Track progress. • Data obtained from DDS annual Report • Provide ongoing training to case management staff and service providers on KRC's Employment First Policy and and other client employment initiatives.

PERFORMANCE CONTRACT PLAN

<i>Measures</i>	<i>Statewide Average</i>	<i>KRC Baseline</i>	<i>Statewide Average</i>	<i>KRC Baseline</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
<p>7. Average wages and hours worked for adults engaged in competitive, integrated employment, on behalf of whom incentive payments have been made. <i>(higher is better)</i></p>	<p>2019-20 \$13.52/hr 21 hrs/wk</p>	<p>2019-20 \$12.58/hr 20 hrs/wk</p>	<p>2020-21 \$14.81/hr 23 hrs/wk</p>	<p>2020-21 \$14.97/hr 30 hrs/wk</p>	<ul style="list-style-type: none"> Track progress. Data obtained from DDS annual Report. Provide ongoing training to case management staff and service providers on KRC's Employment First Policy and and other client employment initiatives.
<p>8. Total number of \$1000, \$1250 and \$1500 incentive payments made for the fiscal year <i>(higher is better)</i> <i>Note: Effective 07/01/21 incentive payments have changed to \$2,000, \$2,500 and \$3,000</i></p>	<p>2019-20 \$1000 (34) \$1250 (28) \$1500 (22)</p>	<p>2019-20 \$1000(4) \$1250(6) \$1500(0)</p>	<p>2020-21 \$1000 (33) \$1250 (19) \$1500 (17)</p>	<p>2020-21 \$1000(8) \$1250(2) \$1500(3)</p>	<ul style="list-style-type: none"> Track progress. Data obtained from DDS annual Report. Provide ongoing training to case management staff and service providers on KRC's Employment First Policy and and other client employment initiatives.
<p>9. Percentage of adults who reported having integrated employment as a goal in their IPP <i>(higher is better)</i></p>	<p>2017-18 State Avg 29%</p>	<p>207-18 KRC Avg 31%</p>	<p>2020-21 State Avg 35%</p>	<p>TBD</p>	<ul style="list-style-type: none"> KRC to develop a plan on how to track these objectives. Provide service coordination staff with enhanced training on proper IPP development. Obtain National Core Indicator (NCI) Survey. NCI measure "community employment" versus "integrated employment.

PERFORMANCE CONTRACT PLAN

Public Policy Performance Measures (Related to Reducing Disparities and Improving Equity in Purchase of Services Expenditures)

Measures	KRC FY 2019-20 % Utilized ALL AGES	KRC FY 2019-20 Age & Utilized	KRC FY 2020-21 % Utilized ALL AGES	KRC FY 2020-21 Age & Utilized	Activities Regional Center will Employ to Achieve Outcome
<p>Percent of total annual purchase of service expenditures by individual's ethnicity and age: * Birth to age two, inclusive. * Age three to 21, inclusive. * Twenty-two and older.</p>	<p>Asian 63.7%</p> <p>-</p> <p>Black/AA 69%</p> <p>-</p> <p>Native Hawaiian or Other Pacific Islander 83.7%</p> <p>-</p> <p>Hispanic 66.8%</p> <p>-</p> <p>Native American 70.8%</p> <p>-</p> <p>White 69%</p>	<p>0 - 2 61.4%</p> <p>-</p> <p>3 - 21 56.1%</p> <p>-</p> <p>22 - ^ 68.6%</p>	<p>Asian 59.4%</p> <p>-</p> <p>Black/AA 63.9%</p> <p>-</p> <p>Native Hawaiian or Other Pacific Islander 71%</p> <p>-</p> <p>Hispanic 60.9%</p> <p>-</p> <p>Native American 38.2%</p> <p>-</p> <p>White 63.9%</p>	<p>0 - 2 56.3%</p> <p>-</p> <p>3 - 21 57.5%</p> <p>-</p> <p>22 - ^ 63.4%</p>	<ul style="list-style-type: none"> • KRC will utilize the DDS Disparity Grant(s) to implement a plan to address disparity for KRC consumers. • Conduct outreach and training through educational presentations about regional center services in venues serving families with young children in KRC's catchment area, utilizing Early Start networks. • Enhanced training for staff to assess thoroughly the needs of the consumer and families, and to follow through with the referral process essential to access the correct service. • Service Coordinator will monitor closely to review the utilization of services on a periodic basis and identify barriers in accessing services. • KRC to observe vendor data trends for encumbrances vs. utilization and establish a threshold for appropriate encumbrance vs. utilization. If utilization falls under standard, review the current practices that are in place and make adjustment as needed. • Service Coordinators to work closely with clients, families and vendors when services are not utilized. • KRC to provide training to service coordination staff on different modalities of service delivery (Self-Determination, Participant Directed services, etc.) utilizing specialized staff (enhanced service coordinators, participant choice specialists, Deaf and Hard of Hearing specialist, etc.).

PERFORMANCE CONTRACT PLAN

Measures	KRC FY 2019-20 Ethnicity All Ages	KRC FY 2019-20 All Ethnicities	KRC FY 2020-21 Ethnicity All Ages	KRC FY 2021-20 All Ethnicities	Activities Regional Center will Employ to Achieve Outcome
<p>Number and percent of individuals receiving only case management services by age and ethnicity: * Birth to age two, inclusive. * Age three to 21, inclusive. * Twenty-two and older.</p>	<p>Asian 29.3% - Black/AA 21.8% - Native Hawaiian or Other Pacific Islander 14.3% - Hispanic 32.5% - Native American 32.1% - Other 30.4% - White 25.5%</p>	<p>0 - 2 5.9% 3 - 21 44.5% - 22 - ^ 17.7%</p>	<p>Asian 31.8% - Black/AA 24.9% - Native Hawaiian or Other Pacific Islander 0.0% - Hispanic 32.5% - Native American 23.8% - Other 30.4% - White 25.5%</p>	<p>0 - 2 9% - 3 - 21 45.6% 22 - ^ 18.7%</p>	<ul style="list-style-type: none"> Through the Individual Program Plan (IPP) process KRC will assure that case management continues to be sufficient to meet the needs of the clients. Through the Individual Program Plan (IPP) process KRC will include all services not funded by POS dollars. Hire additional case worker to reduce caseload ratio. Enhanced training for Service Coordinator in reviewing POS expenditures and utilization, follow up with family utilization of services and support and documentation of generic resources. KRC to provide training to service coordination staff on different modalities of service delivery (Self-Determination, Participant Directed services, etc.) utilizing specialized staff (enhanced service coordinators, participant choice specialists, Deaf and Hard of Hearing specialist, etc.).

PERFORMANCE CONTRACT PLAN

<i>Measures</i>	<i>Yes/No</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
1. Unqualified independent audit with no material finding(s).	YES	<ul style="list-style-type: none"> Establish, apply and maintain good business practices and generally accepted accounting principles.
2. Substantial compliance with Department of Developmental Services fiscal audit	YES	<ul style="list-style-type: none"> Establish, apply and maintain good business practices and generally accepted accounting principles.
3. Accuracy percent of POS fiscal projections (based on February SOAR)	YES	<ul style="list-style-type: none"> Strive to improved accuracy of POS fiscal projections based on history and ongoing utilization review.
4. Operates within OPS budget	YES	<ul style="list-style-type: none"> Develop plan to operate within the operational funds allocation.
5. Certified to participate in Waiver	YES	<ul style="list-style-type: none"> Maintain compliance with Medicaid Waiver requireme
6. Compliance with Vendor Audit Requirements per contract, Article III, Section 10.	YES	<ul style="list-style-type: none"> Maintain compliance with contract.
<i>Measures</i>		<i>Activities Regional Center will Employ to Achieve Outcome</i>
7. CDER/ESR Currency	97.81%	<ul style="list-style-type: none"> Continue to monitor timely completion of CDER/ESR.

PERFORMANCE CONTRACT PLAN

<i>Measures</i>	<i>State Target</i>	<i>FFY 2018-19 Report</i>	<i>FFY 2020-21 Report</i>	<i>FFY 2021-22 Report</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
8. Intake/assessment and IFSP time lines (0-2).	100%	96%	94.30%	TBD	<ul style="list-style-type: none"> Implement to ensure timely completion of intake/assessment and IFSP. Maintain compliance with T17 requirements [R3].
<i>Measures</i>	<i>Statewide Average June 2021</i>	<i>KRC Baseline as of June 2020</i>	<i>Statewide Average July 2021</i>	<i>KRC Baseline as of July 2021</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
9. Intake/assessment time lines for consumers ages 3 and above.	98.27%	99.39%	95.05%	87.09%	<ul style="list-style-type: none"> Implement plan to ensure timely completion of intake/assessment in accordance with W&I section 4642 & 4643. Complete a comprehensive review of the Intake Process to move towards meeting statutory requirements. • Recruit and develop sufficient clinical resources (psychologists) to provide thorough assessment for individuals for individuals applying for Lanterman services.

PERFORMANCE CONTRACT PLAN

<i>Measures</i>	<i>Federal Revenue Audit Report 2017</i>	<i>Federal Revenue Audit Report 2019</i>	<i>Federal Revenue Audit Report 2021</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
<p>10. IPP Development (WIC requirements (2.6.a))</p>	<p>90%</p>	<p>97%</p>	<p>TBD</p>	<ul style="list-style-type: none"> • Comply with all requirement of WIC 4646.5(c)(3) for timely completion of individual program plans for consumers receiving services under the Lanterman Act. • Hire additional case worker to reduce caseload ratio. • Enhance training on IPP timelines, including familiarity with WIC codes for seasoned or senior Service Coordinators • New Service Coordinators will be trained on all aspects of the IPP process, including timelines.
<p><i>Measures</i></p>	<p><i>State Target</i></p>	<p><i>FFY 2019-20 Report</i></p>	<p><i>FFY 2020-21 Report</i></p>	<p><i>Activities Regional Center will Employ to Achieve Outcome</i></p>
<p>11. An Initial IFSP Development Part C 45 day time line (Title 17 requirements).</p>	<p>100%</p>	<p>76%</p>	<p>100%</p>	<ul style="list-style-type: none"> • Comply with all requirement of Title 17 for timely completion of individual program plans for infants and children receiving Early Intervention services [R5]. • Service Coordinators will be trained on all aspect of the IFSP process, including time lines. • A thorough documentation will be completed whenever there are issues with delays in completing assessment to account when there are exceptional family circumstances which impacts 45 days timeline. • Develop additional resources in completing Early Start assessments for the growth in number of referrals for children under the age of 3 to KRC. • Ensure to have adequate resources to complete assessment in a timely manner.

PERFORMANCE CONTRACT PLAN

REGIONAL CENTER PERFORMANCE MEASURES

Review Performance Measures will be reviewed with staff to understand expectations.

Focus Area	Measure	Measure Description
Early Start	Child Find and Identification	1. RC submits a Child Find Plan and will work with DDS to establish a reporting structure that will be used by all RCs to report on measures and the types of outreach/child find activities supported by RC staff or funding 2. Number of children identified* in proportion to the total number of 0-2 year-old children in the county, or zipcode, reported by language, race and ethnicity.
	Timely Access to Early Start Services	Rate of Individual Family Service Plan (IFSPs) completed within the Federally required 45-day timeframe from receipt of referral.
Employment	Participation in Competitive Integrated Employment (CIE)	Number of consumers who participate in competitive integrated employment (CIE) for at least 30 days during the reporting period stratified by: * Students enrolled in or attending secondary education * Adults who are no longer enrolled in or attending secondary education
	Data Points and Reporting for CIE	RCs will work with DDS to establish data points and reporting in SANDIS regarding interest in or actively participating in CIE.
Equity and Cultural Competency	Linguistic Diversity	1. Number of bilingual Service Coordinators (SCs) including intake staff and first line supervisors for each language. 2. Language distribution across people receiving RC services
	Language Access	Number of requests for translated IPP documents and length of time to complete request.

PERFORMANCE CONTRACT PLAN

REGIONAL CENTER PERFORMANCE MEASURES

Focus Area	Measure	Measure Description
Individual and Family Experience and Satisfaction	Service Coordinator Competency in Cultural and Ethnic Diversity	Percentage of Service Coordinators (SCs) including intake staff and first line supervisors participating in training related to cultural and linguistic competency.
	Consumer/Family Satisfaction with Regional Center Services	RCs will work with DDS to establish annual feedback from individuals receiving RC services and Family members, with eight or more common components agreed upon across all 21 RCs
Person-Centered Services Planning	Service Plans Demonstrate Person-Centered Criteria	RCs commit to the development and use of a consistent person-centered service plan document.
	Service Coordinator Facilitation Skills	Number of certified Person-Centered Plan Facilitation Trainers employed by the RC and qualified to deliver plan facilitation training.
Service Coordination and Regional Center Operations	Choice of Services within Regional Center	Number of vendors for each service type within the RC catchment area, reported by zip code
	Timely Service Authorizations	Number of days between annual individual program plan (IPP) review and service authorization, reported as an average and range.
	Service Coordinator Competency	<ol style="list-style-type: none"> Develop a set of Service Coordinators (SC) training standards and competencies approved by DDS for use statewide Establish data elements for reporting on number of SCs who completed all requirements within the standards.
	Intake Process	RCs agree to develop and utilize a standard intake process that includes core elements articulated by DDS, focused on customer service.

STATEMENT OF ASSURANCES

This is to assure that **Kern Regional Center** Calendar Year 2023-24 Performance Contract was developed in accordance with the requirements specified in Welfare and Institutions Code section 4629 and the Department of Developmental Services' (DDS) Year 2023-24 Performance Contract Guidelines.

The performance contract was developed through a public process which included:

- Providing information, in an understandable form, to the community about regional center services and supports, including budget information and baseline data on services and supports and the regional center operations [WIC 4629 (c)(B)(i)];
- Conducting a public meeting where participants can provide input on performance objectives and using focus groups or surveys to collect information from the community [WIC 4629 (c)(B)(ii)];
- Providing at least ten calendar days advance public notice of the date of the public meeting (guidelines); and,
- Circulating a draft of the performance objectives to the community for input prior to presentation at a regional center board meeting where additional public input will be taken and considered before adoption of the objectives [WIC 4629 (c)(B)(iii)];

Signature of RC Director:

Michi A. Gates, Ph.D. Kern Regional Center Executive Director

Date:

Attachment 4

KERN REGIONAL CENTER
PURCHASE OF SERVICE
FY 2022-2023
AS OF SEPTEMBER 31, 2022

PURCHASE OF SERVICES	July 2022	August 2022	September 2022	October 2022	November 2022	December 2022	January 2023	February 2023	March 2023	April 2023	May 2023	June 2023	2022-2023 Total
OUT-OF-HOME													
Community Care Facility	5,132,120	5,215,289	5,126,577										15,473,986
ICF/SNF Facility	120,340	125,443	151,131										396,914
TOTAL OUT OF HOME	5,252,460	5,340,732	5,277,708										15,870,900
DAY PROGRAMS													
Day Care	51,627	53,042	44,663										149,332
Day Training	2,725,935	3,155,848	2,962,340										8,844,123
Supported Employment	399,209	461,306	321,717										1,182,232
Work Activity Program	8,562	9,740	10,097										28,399
SUBTOTAL DAY PROGRAMS	3,185,333	3,679,936	3,338,817										10,204,086
OTHER SERVICES													
Non Medical Services Prof	343,044	324,936	300,798										968,778
Non Medical Services Prog	1,273,556	1,471,549	1,407,736										4,152,841
Home Care Services Prog	8,882	13,492	2,223										24,597
Transportation	466,000	476,202	464,349										1,406,551
Transportation Contracts	508,135	508,257	595,931										1,612,323
Prevention Services	532,554	221,747	209,753										964,054
Other Authorized Services	3,504,118	3,648,577	3,304,662										10,457,357
P & I Expense	16,418	10,602	11,218										38,238
Hospital Care													
Medical Equipment	1,965	9,562	1,492										13,019
Medical Services Prof	199,037	205,869	146,978										551,884
Medical Services Prog	32,707	36,415	31,947										101,069
Respite Care - In Home	2,047,783	2,049,176	908,259										5,005,218
Respite Care - Out of Home	18,030	9,600	9,017										36,647
TOTAL OTHER SERVICES	8,952,229	8,965,984	7,394,363										25,332,576
TOTAL PURCHASE OF SERVICES	17,390,022	18,006,652	16,010,888										51,407,562
COMMUNITY PLACEMENT PLAN													
Community Care Facility	242,832	243,316	299,253										785,401
ICF/SNF Facility													
Day Training													
Non-Medical Services													
Non-Medical Services-Programs		1,550											1,550
Transportation													
Other Authorized Services	951	1,313	3,677										5,941
Other Services													
Medical Care - Prof													
Community Care Facility													
TOTAL COMMUNITY PLACEMENT PL	243,783	246,179	302,930										792,692
TOTAL PURCHASE OF SERVICE	17,633,805	18,252,831	16,313,818										52,200,454

Attachment 5

KERN REGIONAL CENTER
 OPERATIONS
 FY 2022/2023
 AS OF SEPTEMBER 30, 2022

	PROPOSED EXPENDITURE	YEAR TO DATE	07/31/22	08/31/22	09/30/22	10/31/22	11/30/22	12/31/22	01/31/23	02/28/23	03/31/23	04/30/23	05/31/23	06/30/23	TOTAL	OVER/UNDER
OPERATIONS																
Salaries & Benefits	20,378,826	5,486,607	1,427,790	1,567,602	2,011,650										5,007,042	479,565
Operating Expenses	4,768,000	1,283,692	306,691	363,842	403,645										1,074,178	209,514
SUBTOTAL OPS	25,146,826	6,770,299	1,734,481	1,931,444	2,415,295										6,081,220	689,079
COMMUNITY PLACEMENT PLAN																
Salaries & Benefits	-	-	-	-	-										-	-
Operating Expenses	-	-	-	-	-										-	-
SUBTOTAL CPP	-	-	-	-	-										-	-
FOSTER GRANDPARENT PROGRAM																
Salaries & Benefits	98,040	26,395	3,823	4,467	6,206										14,496	11,900
Operating Expenses	151,503	37,876	3,444	153	4,221										7,818	30,058
SUBTOTAL FGP	249,543	64,271	7,267	4,620	10,427										22,314	41,957
SENIOR COMPANION PROGRAM																
Salaries & Benefits	65,360	17,597	2,557	2,978	4,137										9,672	7,925
Operating Expenses	101,002	25,251	2,565	194	1,715										4,474	20,777
SUBTOTAL SCP	166,362	42,847	5,122	3,172	5,852										14,146	28,701
TOTAL OPERATIONS	25,562,731	6,877,418	1,746,869	1,939,236	2,431,574										6,117,660	759,738