

PERFORMANCE CONTRACT PLAN

Regional Center Kern

Calendar Year(s) 2017

Public Policy Performance Measures

Measures	Statewide Average 2015	Statewide Average 2016	KRC Baseline as of June 2015	KRC Baseline as of June 2016	Activities Regional Center will Employ to Achieve Outcome
<p>1. Number and percent of Regional Center consumers in Developmental Centers (DC) <i>(lower is better)</i></p>	<p align="center">0.38%</p>	<p align="center">TBD</p>	<p align="center">0.69% 53</p>	<p align="center">0.55% 44</p>	<ul style="list-style-type: none"> • KRC will design services and identify supports that are essential to meeting the consumer's needs prior to the consumer moving into the community. • KRC will do outreach (i.e., partnership meeting with key holders such as Department of Mental Health) and give information to community providers interested in serving this specialized population. • KRC will continue to implement the 2016-2017 Community Placement Plan (CPP), which identifies the current needs and services of individuals residing in developmental centers. The plan identifies specific ways of meeting those needs through residential service settings, day programs, supplemental supports, including transportation, 1-to-1 assistance, specialized medical, dental, residential placement, and any other identified need. • KRC will deflect placements from the DC whenever possible consistent with consumers needs. • KRC will inform families, developmental center staff and consumers about all choices available, and encourage them to evaluate all options. • Complete comprehensive assessment (initial/update) for consumers residing in the developmental centers who meet criteria for placement. • Move 10 consumers from the developmental center to a community settings. • Develop community homes that would serve individuals with complex medical and/or severe behaviors who require intensive services. Homes may be under a new licensing category, allowing consumers to be served who could not be served in a community setting by 06/30/2018.

PERFORMANCE CONTRACT PLAN

<i>Measures</i>	<i>Statewide Average 2015</i>	<i>Statewide Average 2016</i>	<i>KRC Baseline as of June 2015</i>	<i>KRC Baseline as of June 2016</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
2. Number and percent of minors residing with families (own family, foster family, guardian) <i>(higher is better)</i>	99.11%	TBD	98.99% 3,415	99.98% 3,634	<ul style="list-style-type: none"> • Continue to develop programs to serve children. • Provide information and referral to parents about Family Resource Center(s).
3. Number and percent of adults residing in independent living <i>(higher is better)</i>	11.33%	TBD	9.67% 403	9.16% 391	<ul style="list-style-type: none"> • Service coordinators will discuss and provide Independent Living Services (ILS) options with consumers and families using a person-centered process.
4. Number and percent of adults residing in supported living <i>(higher is better)</i>	5.60%	TBD	12.67% 528	12.23% 522	<ul style="list-style-type: none"> • Continue to provide information on Supported Living Service (SLS) options with consumers and families using a person-centered process.
5. Number and percent of adults residing in Adult Family Home Agency (AFHA) homes <i>(higher is better)</i>	0.95%	TBD	4.27% 178	4.71% 201	<ul style="list-style-type: none"> • Develop plan to comply with statutory monitoring requirements.
6. Number and percent of adults residing in family homes (home of parent or guardian) <i>(higher is better)</i>	59.81%	TBD	57.40% 2,392	58.43% 2,493	<ul style="list-style-type: none"> • Continue to provide services and support to maintain consumers in the family home.
7. Number and percent of adults residing in home settings (independent or supported living, Adult Family Home Agency and Family homes) <i>(higher is better)</i>	77.69%	TBD	84.02% 3,501	84.53% 3,607	<ul style="list-style-type: none"> • See #3 through #6 above.

PERFORMANCE CONTRACT PLAN

<i>Measures</i>	<i>Statewide Average 2015</i>	<i>Statewide Average 2016</i>	<i>KRC Baseline as of June 2015</i>	<i>KRC Baseline as of June 2016</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
8. Number and percent of minors living in facilities serving greater than 6 - (Intermediate Care Facilities (ICF), Skilled Nursing Facilities (SNF), and Community Care Facilities (CCF). <i>(lower is better)</i>	0.06%	TBD	0.06% 2	0.04% 2	<ul style="list-style-type: none"> • Continue to identify and track children at risk of institutional placement.
9. Number and percent of adults living in facilities serving greater than 6 - (Intermediate Care Facilities (ICF), Skilled Nursing Facilities (SNF), and Community Care Facilities (CCF). <i>(lower is better)</i>	2.85%	TBD	0.91% 38	1.23% 53	<ul style="list-style-type: none"> • Continue to identify and track adults in large facilities.

Public Policy Performance Measures (Under Development)

The following measures are under development for future incorporation in the Performance Contract Pilot Project, upon availability of relevant data:

Number and percent of adults in supported employment.
Number and percent of adults with earned income and average wage (aggregate).
Number and percent of adults in competitive employment.
Number of consumers per thousand who are victim of abuse.
Access to medical and dental services.

Compliance Measures ¹UD = Under Development

Measures					Yes/No	Activities Regional Center will Employ to Achieve Outcome
1. Unqualified independent audit with no material finding(s).					Pending	Establish, apply and maintain good business practices and generally accepted accounting principles.
2. Substantial compliance with Department of Developmental Services fiscal audit					Pending	Establish, apply and maintain good business practices and generally accepted accounting principles.
3. Accuracy percent of POS fiscal projections (based on February SOAR)					Yes	Strive to improved accuracy of POS fiscal projections based on history and ongoing utilization review.
4. Operates within OPS budget					No	Develop plan to operate within the operational funds allocation.
5. Certified to participate in Waiver					Pending	Maintain compliance with Medicaid Waiver requirements.
6. Compliance with Vendor Audit Requirements per contract, Article III, Section 10.					Pending	Maintain compliance with contract.
7. Percent of total annual purchase of service expenditures by individual's ethnicity and age: * Birth to age two, inclusive. * Age three to 21, inclusive * Twenty-two and older					Pending	<ul style="list-style-type: none"> • KRC will utilized the DDS Disparity Grant to implement a plan to address disparity for birth to 8 years, and 8 years and over. • Conduct outreach through educational presentations about regional center services in venues serving families with young children [birth to 8 years] in KRC's catchment area, utilizing the Family Resource Center and Early Start networks. • Enhance assessment and intake process by training the staff in the Hawaii Early Learning Profile (HELP), or the Infant-Toddler Developmental Assessment (IDA). • Hire and train bilingual service coordinators to work directly with families currently receiving KRC services.
8. Number and percent of adult individuals by ethnicity receiving only case management services.					Pending	Through the Individual Program Plan (IPP) process KRC will assure that case management continues to be sufficient to meet the needs of the clients.
Measures	Statewide Average 2015	Statewide Average 2016	KRC Baseline as of June 2015	KRC Baseline as of June 2016	Activities Regional Center will Employ to Achieve Outcome	
9. CDER/ESR Currency	98.19%	TBD	99.61% 6,838	99.61% 6,838	Continue to monitor timely completion of CDER/ESR.	

<i>Measures</i>	<i>Statewide Average 2015</i>	<i>Statewide Average 2016</i>	<i>KRC Baseline as of June 2015</i>	<i>KRC Baseline as of June 2016</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
10. Intake/assessment and IFSP time lines (0-2).	Data not available	PENDING	PENDING	PENDING	Implement to ensure timely completion of intake/assessment and IFSP. Maintain compliance with T17 requirements.
11. Intake/assessment time lines for consumers ages 3 and above.	97.99%	TBD	85.27% 191	94.31% 199	Implement plan to ensure timely completion of intake/assessment. Complete a comprehensive review of the Intake Process to move towards meeting statutory requirements.

Compliance Measures ¹UD = Under Development

<i>Measures</i>	<i>Statewide Average 2015</i>	<i>Statewide Average 2016</i>	<i>KRC Baseline as of June 2015</i>	<i>KRC Baseline as of June 2016</i>	<i>Activities Regional Center will Employ to Achieve Outcome</i>
12. IPP Development (WIC requirements)	Data not available	Data not available	¹ UD	¹ UD	<ul style="list-style-type: none"> • Comply with all requirement of WIC 4646.5c(3) for timely completion of individual program plans for consumers receiving services under the Lanterman Act. • Hire additional case worker to reduce caseload ratio. • New Service Coordinators will be trained on all aspects of the IPP process, including timelines.
13. IFSP Development (Title 17 requirements).	Data not available	Data not available	Data not available	Data not available	<ul style="list-style-type: none"> • Comply with all requirement of Title 17 for timely completion of individual program plans for infants and children receiving Early Intervention services. • Service Coordinators will be trained on all aspect of the IFSP process, including time lines. • Train early start/assessment Coordinators the use of HELP.

STATEMENT OF ASSURANCES

This is to assure that **Kern Regional Center** Year 2017 Performance Contract was developed in accordance with the requirements specified in Welfare and Institutions Code section 4629 and the Department of Developmental Services' (DDS) Year 2017 Performance Contract Guidelines.

The performance contract was developed through a public process which included:

- Providing information, in an understandable form, to the community about regional center services and supports, including budget information and baseline data on services and supports and the regional center operations [WIC 4629 (c)(B)(i)];
- Holding at least one public meeting to solicit input on performance objectives and using focus groups or surveys to collect information from the community [WIC 4629 (c)(B)(ii)];
 - Providing at least 10 calendar days advance public notice of the date(s) of the public meeting (DDS Guidelines);
 - Circulating a draft to the community of the performance contract plan relative to the public policy and compliance outcomes identified in the DDS performance Contract guidelines, and any locally developed policy outcomes prior to presenting the contract to the regional center board for action [WIC 4629 (c)(B)(iii)];
 - Providing an opportunity for additional public input and consideration of that input at the regional center board meeting prior to board action on the proposed performance contract [WIC 4629 (c)(B)(iii)].

Signature of RC Director: *CB Mallinson For Robert Riddick*
Robert Riddick, Kern Regional Center Interim Executive Director

Date: 01/24/2017